- or put into a mentholated cigarette produced by
- 2 Lorillard?
- A. I don't know.
- 4 Q. Okay. Let's take a break. Hang on a
- 5 sec. Don't stop something.
- 6 MR. GUSTAFSON: No, he said we're done.
- 7 MR. ANGELOS: Wait a minute. Okay.
- 8 Let's go take lunch.
- 9 THE VIDEOGRAPHER: Off the record, end
- 10 of tape two at 12:35.
- 11 (Lunch recess taken -- 12:35 P.M.)
- 12 (After recess -- 1:21 P.M.)
- 13 THE VIDEOGRAPHER: Starting of tape
- 14 three, on the record at 1:21 P.M.
- 15 (Deposition Exhibit Number 11,704 was
- 16 marked for Identification.)
- 17 MR. ANGELOS:
- 18 Q. Mr. Bell, I want to show you what we
- 19 have marked as Plaintiff's Exhibit 11,704. Take
- 20 a look at that.
- MR. GUSTAFSON: Thank you.

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- 1 Q. Sir, this is a document dated October
- 2 27, 1971, to a Dr. C.I. Lewis from J.H. Bell.
- 3 And the subject matter is called, "An approach to
- 4 the reduction of the tumorgenicity of cigarette
- 5 smoke condensate." Ask you if you recognize this
- 6 document.
- 7 A. I don't remember this document.
- 8 Q. Did you write this document?
- 9 A. I would assume so.
- 10 Q. Why would you assume so?
- 11 A. Because my name's on it.
- 12 Q. Are you familiar with it now, sir?
- 13 A. I've scanned it.
- 14 Q. Can you give me a basic overview of
- what you were writing about to Dr. Lewis back in
- 16 October of 1971, what this project was about?
- 17 MR. GUSTAFSON: I'll object to the form
- 18 of the question.
- 19 A. You mean summarize this whole document?
- Q. Well, just a little brief overview, if
- 21 you can, in so many words tell us what you were

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- 1 proposing or what the purpose of this document
- 2 was to Dr. Lewis.
- 3 MR. GUSTAFSON: Object to the form.
- 4 A. It's hard to summarize. This is very
- 5 involved, detailed plan, and I'm not sure how to
- 6 break it down into something simple.
- 7 Q. As a very involved and detailed plan,
- 8 what was your ultimate goal of this involved and
- 9 detailed plan? What were you attempting to
- 10 accomplish with this plan?
- 11 A. The first part of this would be to
- isolate the fraction of cigarette smoke
- 13 condensate or some other condensate, 'cause it
- 14 goes into something other than tobacco here, and
- 15 try to isolate those agents that cause tumors
- 16 when painted on the backs of mice into a fraction
- 17 that we can identify what those agents are.
- 18 Cigarette smoke being so terribly
- 19 complex, you can't profile or look at the entire
- 20 composition of cigarette smoke. You have to try
- 21 to reduce the number of components that you're

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- looking at in order to identify them.
- 2 So the first part of this is describing
- 3 what has been reported in the literature from
- 4 other research laboratories and a proposal of
- 5 isolating a formic acid fraction. And then maybe
- 6 from that fraction we could identify the
- 7 components that may be responsible for the
- 8 tumorgenicity.
- 9 Q. Okay. The formic acid fraction, is
- 10 formic acid a constituent of cigarette smoke
- 11 condensate?
- 12 A. It is a constituent of cigarette smoke
- 13 condensate.
- 14 Q. And I'm sorry. You were....
- 15 A. But this is using formic acid from a
- 16 reagent bottle as a solvent to extract or
- 17 partition components into that solvent.
- 18 Q. And what particular partitions are you
- 19 looking for in this specific study?
- 20 A. I don't understand the question.
- 21 Q. By the use -- with the use of formic

- 1 acid, which specific constituents of the
- 2 cigarette smoke condensate are you trying to
- 3 isolate?
- A. Well, gosh, I don't think -- I don't
- 5 think we knew then. I don't think I could tell
- 6 you now. That's a very, very unique solvent,
- 7 that would have -- and I think this is -- this
- 8 came from another laboratory. But it -- it would
- 9 partition in a different way than anything I can
- 10 think of.
- 11 So it was just very unique solvent, to
- 12 use formic acid; formic acid being a very, very
- 13 strong organic acid. So this was something that
- 14 would be, you know, quite unique and different.
- I can't tell you what compounds would
- 16 be in there. Possibly nitrogenous compounds
- 17 would be, maybe be in that component -- be in
- 18 that extract. And, apparently, some polycyclic
- 19 hydrocarbons would probably be in there, too.
- 20 Q. Was there a specific constituent you
- 21 were trying to identify or isolate by using the

- 1 formic acid?
- 2 A. No, no.
- 3 Q. What was the purpose of using the
- 4 formic acid other than other materials that could
- 5 help separate the smoke condensate?
- 6 A. Because the Harrowgate Laboratory, as
- 7 explained on page two, had -- had developed this
- 8 method, and they had used formic acid in their
- 9 studies. And according to what I've written
- 10 here, you know, it's like a different approach to
- 11 get to some activity, to isolate this activity in
- 12 a smaller fraction.
- 13 And then I went on to say we could take
- 14 that fraction and separate the constituents by
- 15 another scheme and get -- separate that fraction
- into two additional fractions, as outlined on
- 17 page two, number five.
- 18 Q. The Harrowgate study, what is your
- 19 basis of knowledge of this study? Where did it
- 20 arise from? How did you come to understand the
- 21 nature of the Harrowgate study?

- 1 A. It was published in the literature, I
- 2 suppose.
- 3 Q. And approximately what year? Was this
- 4 around 1971, when this study was published?
- 5 A. I would assume it was, you know,
- 6 approximately 1970.
- 7 Q. Did you personally read the study on
- 8 your own, or was this someone assigned it to you?
- 9 How did you come about to recognize or read this
- 10 study?
- 11 A. I don't remember. It was probably in
- 12 the library, and someone could have called my
- 13 attention to it, or -- I don't remember how I got
- 14 on to that.
- 15 Q. Okay. Was -- or did the Harrowgate
- 16 study use formic acid in an effort to isolate
- 17 benz (a) pyrene?
- 18 A. I'm not sure why they -- how they came
- 19 up with this, how it was developed; if they used
- 20 it -- as a matter of fact -- I don't remember.
- Q. Okay. Did you -- were you attempting

- to reproduce this study or add additional design
- 2 elements to it? Or what was the purpose of what
- 3 you were attempting to arrive at here?
- 4 A. I think that the attempt was that we
- 5 had, as we discussed this morning, a B fraction,
- 6 and that was -- I think the B fraction of
- 7 cigarette smoke was roughly two or three percent
- 8 of the total condensate or tar. This took it --
- 9 smoke condensate or tar. I'll use tar; it will be
- 10 simpler for you.
- 11 It's my best recollection that the
- 12 formic acid fraction perhaps was a smaller
- 13 fraction than the two or three percent. So then,
- 14 now you're eliminating some components and making
- this fraction more easily to get at and identify
- 16 the composition of.
- 17 And then, we were going to separate
- 18 that fraction into two parts, again, refining the
- 19 active components. At that point, as I say here
- 20 on page two, number six, after the development of
- 21 the complete formic acid profile, our next step

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- is to measure this profile for condensate as a
- 2 known activity, such as 1R1, Old Gold. These
- 3 are -- these are cigarettes where -- okay.
- 4 You have a profile of this fraction.
- 5 And a profile means that you have some indication
- 6 of what's in there and the concentration of
- 7 what's in there. Now you take a whole slew of
- 8 additional cigarettes that you have biological
- 9 activity on, which is in the literature, that you
- 10 can pick up from the literature. And if we went
- 11 back and got the profile from those cigarettes,
- it might show us which components are the active
- 13 constituents.
- 14 And that's what this is all about, is
- 15. an approach to a very complex problem that's --
- 16 hasn't been solved and probably never will be
- 17 solved.
- 18 Q. Okay. When you read that it read that
- 19 paragraph, you said no activity, such as 1RI.
- 20 What is 1RI?
- 21 A. That's a Kentucky referenced cigarette,

made at the University of Kentucky, and they made

- 2 a cigarette that would replicate all the
- 3 cigarettes on the market, American blend
- 4 cigarettes. And it was 100 -- a 85-millimeter,
- 5 nonfilter cigarette. And everyone uses -- around
- 6 the world uses that as a reference. And it's
- 7 still being used as a reference cigarette.
- 8 Q. And you said that there was a known
- 9 profile of that, of the 1 R?
- 10 A. No, we'd have to generate that profile.
- 11 Q. Okay.

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- 12 A. No. What I said was you could
- probably -- the activity -- this cigarette has
- been used in a lot of the painting experiments.
- 15 The NCI used 1R1 as their control in all their
- 16 testing, so that these -- the biological activity
- of those products can be gotten from the
- 18 literature. So what we have to do is develop --
- is to produce the profile of the formic acid
- 20 fraction profile.
- Q. How does that compare to what's in the

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- 1 literature here? What is contained in the
- 2 literature with respect to this profile of the
- 3 1R1, as you described?
- 4 A. There is no profile. There is no
- 5 profile.
- 6 Q. All right. Are there some baseline
- 7 values or at least for the 1R1, this Kentucky
- 8 brand cigarette, are there already -- has there
- 9 already been accomplished a smoke condensate
- analysis of the components of this 1R1 cigarette?
- 11 A. We have the -- I think as many -- many
- data points on the whole tar, but not -- probably
- 13 not fractions of that tar. There's probably not
- 14 activity on the fractions of that tar. Certainly
- no one profiled this formic acid fraction that I
- 16 am describing in this report.
- 17 I don't know -- you know, this probably
- 18 was put in a drawer somewhere and left until you
- 19 found it. I don't -- we never did do this work.
- 20 This is just -- this was just an approach, but I
- 21 don't think we ever did this work.

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- It was probably shot down when it got
- 2 up to some higher management levels. You have to
- 3 remember that I'm just a bench chemist.
- Q. Let me show you this document here.
- 5 MR. ANGELOS: Let's mark it 11,705.
- 6 (Deposition Exhibit Number 11,705 was
- 7 marked for Identification.)
- 8 Q. And this is dated March 4th, 1975, and
- 9 it's talking about testing these formic acid
- 10 subfractions. Was work performed on this or not?
- 11 A. Well, I'm talking about profiling and
- not testing the fraction itself. Let me just see
- 13 what this is.
- 14 A. Okay.
- 15 Q. Now, were you able to develop the
- 16 formic acid subfractions as you've --
- 17 A. Yes, we did. We -- apparently, it's
- 18 shown here that we partitioned the formic acid
- 19 fraction into six fractions. And we certainly
- 20 appeared to be prepared for a painting experiment
- 21 on those subfractions.

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- Q. So, at least from the period October
- 2 27, 1971 to March 4th, 1975, when you authored
- 3 this second document marked as 11,705, you were,
- 4 in fact, able to isolate those formic -- those
- 5 fractions with the use of the formic acid
- 6 substrate. Is that what took place?
- 7 A. It appears that we subfractionated the
- 8 formic acid fraction into six subfractions.
- 9 Q. Yes? Oh, I'm sorry.
- 10 A. Yes, I'm just waiting for a question.
- 11 Q. Let me go back to the first document,
- 12 the one that you have also in front of you. And
- 13 it will be on page two. You wrote in the last
- 14 paragraph, "If we can predict with reasonable
- 15 accuracy the tuumorgenicity of smoke condensates
- 16 from the FAF profile, we can move into the second
- 17 phase of this project to make a safer cigarette."
- 18 Is the memorandum, dated March 4th,
- 19 1975, does that memorandum reflect that you were
- 20 able to successfully determine the smoke
- 21 condensates from this formic acid profile?

- 1 A. Were we able to determine the smoke
- 2 condensates -- I don't understand the question.
- 3 Sorry.
- Q. Based upon your reading of the March
- 5 4th, 1975, document that you authored and also
- 6 the original document from 1971 that we looked
- 7 at, were you able to predict with reasonable
- 8 accuracy the tumorgenicity of smoke condensates
- 9 from this FAF profile?
- 10 A. No, we couldn't do that. This -- this
- 11 document indicates that we were -- it looks like
- that we were preparing for an animal testing
- 13 experiment. I don't remember the results of that
- 14 experiment. You may have them. I don't remember
- 15 the results. But it -- what we did in '95 and
- 16 what we're proposing --
- 17 Q. '75? \
- 18 A. '75, excuse me, and what was proposed
- in '71 is somewhat -- somewhat different. We're
- 20 talking about a profile, and I know that word may
- 21 be hard to understand in the context that it's

- 1 used here.
- The profile that I had in mind would be
- 3 like a gas chromatogram. I don't know if you're
- 4 familiar with that or not, but electrocardiogram
- 5 or whatever, some type of chart that would be
- 6 actually a profile of that fraction, showing
- 7 components in concentrations.
- 8 I don't think we -- we did some of
- 9 that, but not in connection with any biological
- 10 study. We did it from another standpoint.
- But this isn't -- getting a fraction,
- 12 as this memorandum talks about, is not a profile.
- 13 It's just a subfractionization. And we still
- 14 wouldn't know what's in these fractions until we
- 15 analyzed them. And at this point I don't -- I
- 16 don't think we did that. I don't think we
- 17 analyzed those fractions and we found out what
- 18 could be contributable to the activity.
- 19 Q. Why wouldn't you have completed the
- 20 test and examined the next step?
- MR. GUSTAFSON: Object to the extent it

- 1 requires speculation.
- A. Well, that's a good question. I don't
- 3 know the answer. I don't remember the -- you
- 4 know, where -- where this ended up or where this
- 5 got. I don't even know if this was painted.
- I assume that we went to all this
- 7 work -- and this is a lot, a lot a work in here,
- 8 many, many manhours -- that that was probably
- 9 painted. I don't know what the results were.
- 10 The rules may have been so
- 11 unexplainable that we just killed the whole
- 12 approach. I don't know. I really don't know the
- 13 answer to that.
- 14 Q. Would Jimmy Bell have been able to kill
- the whole approach, or would it have been someone
- 16 else that would have made that decision?
- 17 A. No, I wouldn't I wouldn't -- I wouldn't
- 18 have been able to make that determination. It
- 19 would have been --
- 20 Q. In 19 -- I'm sorry.
- 21 A. It would have been some management

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- 1 person.
- Q. In 1971, Jimmy Bell said that if we
- 3 can -- you know, if we can predict and follow
- 4 through this entire approach, then we can move
- 5 into the second phase of the project to make a
- 6 safer cigarette. Did you say that in 1971?
- 7 A. Yes.
- 8 Q. Okay. And if we continued on with
- 9 this, as the '75 memo says, continued on with the
- 10 mouse painting studies and determined these --
- 11 ultimately these subfractions, if that project
- 12 was carried through and successful, is it fair to
- 13 say Jimmy Bell could have said that in 1975 or
- 14 sometime forward, "We have created a safer
- 15 cigarette"?
- 16 MR. GUSTAFSON: Objection, inadequate
- 17 foundation, requires speculation, inadequate
- 18 context.
- 19 A. You're logic is good, assuming that
- 20 Jimmy Bell is so smart that his proposal here is
- 21 actually on target and that this had worked. You

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- 1 know, it's reasonable.
- But, you know, if everything I proposed
- 3 worked out just like I wanted it to, I would be
- 4 head of the company, maybe, like Dr. Spears is.
- 5 But, you know, I don't know what happened.
- 6 Apparently, I was really surprised that we worked
- 7 on it this long. I don't remember that.
- 8 But, obviously, we did. And we took
- 9 that pretty far along, and I don't know what
- 10 happened after this. I really don't.
- 11 Q. Will we ever know or is there any way
- 12 to know whether Jimmy Bell was right in his
- 13 theories and system and we could make a safer
- 14 cigarette?
- MR. GUSTAFSON: Objection, requires
- 16 speculation.
- 17 A. Yeah, I don't know how to answer that.
- 18 Q. Was it your -- I'm sorry.
- 19 A. It's probably all in the files
- 20 somewhere, what happened, about what went on
- 21 next. But I don't remember who -- you know,

- 1 we -- we worked in a lot of different things a
- 2 lot of different times. And although at this
- 3 time this was my main focus, I admit; apparently
- 4 we got onto something else and maybe another
- 5 approach that someone else had.
- 6 We never gave up on trying to identify
- 7 what's in cigarette smoke. We've never given up
- 8 on that. We worked on that all of my 40 years.
- 9 In this particular case something
- 10 bugged out on it, and I don't remember what it
- 11 was. I would imagine it was the painting results
- 12 that we got that didn't -- that led us to a dead
- 13 end, and we could not interpret -- in other
- 14 words, if the activity had been spread in this --
- in this separation scheme, if the activity had
- been spread among all of those six fractions and
- was no distinction, then we'd be dead in the
- 18 water.
- 19 Q. Did you write any memorandums or any
- 20 type of documents like this that would address
- 21 that issue that you just described?

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- 1 A. No, because I handled smoking of
- 2 cigarettes and the separation of cigarettes as a
- 3 chemist in the laboratory, and I did this
- 4 fractionization scheme -- I'm sure I did that --
- 5 and got those fractions ready. But then the
- 6 biological group or someone else or maybe the
- 7 management team would get results back wherever
- 8 we sent this. I don't even know where this would
- 9 go to be tested.
- But, I wouldn't -- I wouldn't -- I'm
- not into that biological end of it, and I don't
- 12 know what -- I probably saw the results, but I
- 13 certainly wouldn't have interpreted them.
- 14 Somebody else would, and maybe that's where it
- 15 bogged down.
- Q. But you don't know for sure?
- 17 A. I don't know for sure what happened
- 18 to this. I would imagine what happened, and
- 19 that's -- well, I shouldn't speculate.
- Q. Let me ask you, Mr. Bell, who was in
- 21 charge -- who was your supervisor on March 4,

- 1 A. No, because I handled smoking of
- 2 cigarettes and the separation of cigarettes as a
- 3 chemist in the laboratory, and I did this
- 4 fractionization scheme -- I'm sure I did that --
- 5 and got those fractions ready. But then the
- 6 biological group or someone else or maybe the
- 7 management team would get results back wherever
- 8 we sent this. I don't even know where this would
- 9 go to be tested.
- 10 But, I wouldn't -- I wouldn't -- I'm
- not into that biological end of it, and I don't
- 12 know what -- I probably saw the results, but I
- 13 certainly wouldn't have interpreted them.
- 14 Somebody else would, and maybe that's where it
- 15 bogged down.
- 16 Q. But you don't know for sure?
- 17 A. I don't know for sure what happened
- 18 to this. I would imagine what happened, and
- 19 that's -- well, I shouldn't speculate.
- 20 Q. Let me ask you, Mr. Bell, who was in
- 21 charge -- who was your supervisor on March 4,

- 1 1975?
- 2 A. I think it was Dr. Minnemeyer.
- Q. Let me just go to page three of this
- document, the 1971 document, 11,704. We're on
- 5 page three; that's the second to the last
- 6 paragraph.
- You state that -- or you wrote, "If we
- 8 can increase the tumorgenicity of CSM with
- 9 certain additives, we should be able to decrease
- the activity of our cigarettes by the elimination
- or the reduction of the same types of compounds."
- 12 What is CSM?
- 13 A. Cigarette smoke -- wait a minute.
- 14 Q. The paragraph above.
- 15 A. Wait, wait.
- 16 Q. I'm sorry.
- 17 A. That's not right, It's -- okay, That
- 18 was Celanese Cytrel. That's a synthetic smoking
- 19 material that Celanese made, in the next
- 20 paragraph. Celanese Cytrel.
- 21 Q. And what was that? I'm sorry. You

- 1 said it was --
- 2 A. Okay. It's a synthetic smoking
- 3 material.
- Q. Where does that go, as far as the
- 5 cigarette's concerned?
- 6 A. This was a -- Celanese, in Charlotte,
- 7 produced a sheet, a material similar to tobacco,
- 8 but synthetic tobacco. It's not real tobacco,
- 9 made up mainly of cellulose, that they wanted to
- sell to cigarette manufacturers to use in place
- 11 of tobacco. And it was called Cytrel. And I
- 12 guess it was coded CSM.
- 13 Since that was nontobacco, my
- 14 suggestion here is that, okay, if take you that
- material and add the constituents in tobacco to
- 16 that, you would -- you could see what role those
- 17 constituents played in the tumorgenicity of the
- 18 tar.
- 19 Q. Okay.
- 20 A. So it was another approach.
- Q. Was the Cytrel synthetic tobacco, did

- 1 it contain any carcinogens or -- did it contain
- 2 any carcinogens?
- 3 MR. GUSTAFSON: Objection, vague and
- 4 ambiguous and mischaracterizes his testimony.
- 5 A. As a matter of fact, it had more
- 6 benz(a)pyrene than tobacco, because it's -- it's
- 7 just a material that you burn. Anything you burn
- 8 is going to produce benz(a)pyrene, and it was --
- 9 it had a lot of benz(a)pyrene in it.
- 10 Q. Okay. So what usefulness would it be
- in the cigarette that already contained the
- benz(a)pyrene, as far as the safety factor,
- 13 dealing with an ultimate cigarette that's
- 14 consumed by the public?
- 15 A. Well, this material was tested in
- 16 the -- in the Cancer Institute studies. It was
- 17 submitted as a material to be tested. And I
- think it proved to be more biologically active
- 19 than cigarettes. And it was dropped. It doesn't
- 20 exist anymore.
- Q. Did you know that before you made this

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- 1 proposition, as far as utilizing it in the
- 2 context of your experiments here?
- A, No, I did not know that.
- 4 Q. Okay.
- 5 A. However, it wouldn't have made a
- 6 difference. What I'm proposing here was to add
- 7 things to increase whatever that level is, to
- 8 increase it. And if you add something that
- 9 increases it, then you know that what you added
- 10 has some positive effect on the activity.
- 11 Q. Does Celanese also produce the
- 12 cigarette filters for Lorillard?
- 13 A. We buy cellulose acetate from Celanese.
- 14 Q. When you wrote the 1975 memorandum,
- which is Plaintiff's Exhibit 11,705, do you
- 16 recall who Dr. Minnemeyer's supervisor was at
- 17 that time?
- 18 A. It had to be one of two people.
- 19 Q. Which one -- which --
- 20 A. It would be either Dr. Schultz or
- 21 Dr. Spears.

- Q. And it's your testimony that -- that
- 2 the individuals, the person that could have given
- 3 consent for this project to go forward is at
- 4 least someone other than you. Is that fair to
- 5 say?
- 6 A. To go forward with this?
- 7 Q. Yes.
- 8 A. Oh, yes. Oh, yes, someone would have
- 9 to okay it.
- 10 Q. Now, in this memorandum you outlined
- almost the protocol on how to paint the mice and
- which doses and things of that nature; is that
- 13 correct? Quantities. I don't want to use the
- 14 word "doses." Ouantities.
- 15 A. Yes, that's correct.
- 16 Q. And you relied on Dr. Dietrich Hoffmann
- 17 for some of the recommendations you made as far
- 18 as the quantities or concentrations of how much
- 19 tar would be applied to the mice; is that
- 20 correct?
- 21 A. I would have had to have got that

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- information from, you know, from somewhere.
- 2 Maybe reading protocols or getting it directly
- 3 from someone, yes.
- Q. You stated -- it says, "I do not feel
- 5 qualified to make a recommendation on this,"
- and you're referencing the above sentence, about
- 7 the concentration of benzo(a)pyrene. But you
- 8 state, "However, based on the information I
- 9 received from Dr. Hoffmann," and then it goes on.
- 10 Do you recall in what manner you
- 11 received the information from Dr. Hoffmann?
- 12 A. I don't recall specifically. Possibly
- 13 by telephone.
- 14 Q. So you had a relationship with
- 15 Dr. Dietrich Hoffmann in which you could have
- 16 picked up the phone and discussed at least the
- 17 protocol for this type of experiment, and he
- would have, I guess, given his thoughts to you?
- 19 A. Yes, something like that. Sure.
- 20 Q. Okay. Where was Dr. Hoffmann employed
- 21 at that time, in 1975?

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- 1 A. He probably had -- was with the
- 2 American Health Foundation.
- Q. And that was his organization that he'd
- 4 been with for, I guess, at least 15 years prior?
- 5 A. Dr. Wynder's organization.
- 6 Q. Okay. And when you say Dr. Wynder, is
- 7 that W-Y-N-D-E-R? Is that the way he spells it?
- 8 A. Yeah.
- 9 Q. Okay. And was this the same -- strike
- 10 that. Were mice painting experiments or did you
- 11 anticipate that this mice painting experiment
- would have taken place at Dr. Hoffmann's lab?
- 13 A. I can't be really sure on that. I
- 14 think it probably was.
- 15 Q. Okay. Was there any in-house mice
- painting labs at Lorillard in 1975?
- 17 A. No.
- 18 Q. You state under "Promotion Stage"
- 19 that -- or you recommend that other test groups
- of the mice, that they be dosed with point one 5
- 21 milligrams of croton oil, C-R-O-T-O-N. What is

- 1 croton oil?
- 2 A. Croton oil is a natural extract of
- 3 something that has this phorbol ester, which is a
- 4 known tumor-promoting agent, very strong
- 5 tumor-promoting agent.
- 6 Q. You called that a phorbol ester?
- A. Phorbol ester.
- 8 Q. Is that printed on the document
- 9 somewhere?
- 10 A. Yes, P-H-O-R --
- 11 Q. Okay.
- 12 A. -- B-O-L.
- 13 Q. Okay. Is phorbol ester contained in
- 14 cigarette smoke condensate?
- 15 A. No, it's not,
- 16 MR. GUSTAFSON: Sounds like the name of
- 17 a drink to me.
- 18 Q. And you say at the top of the page that
- 19 the concentration of benz(a)pyrene -- You state
- 20 at the top of the page that the concentration of
- 21 the benz(a) pyrene is the critical factor in the

- 1 experiment. Can you explain what that means and
- 2 why you said that?
- 3 A. Okay. I don't feel authoritative in
- 4 this animal painting issue here that we're
- 5 talking about, but it's my understanding that
- 6 it's quite difficult -- it's not easy to take
- 7 tar, paint on mice and get tumors. I mean, you
- 8 could paint those things forever.
- 9 So what they do, they put in -- they
- take a carcinogen, like benzo(a)pyrene, although
- they don't use that now; they use some other
- 12 compounds. But they take a known carcinogen,
- 13 like benz(a)pyrene, animal carcinogen. They
- 14 paint that on the animal as an initiating dose to
- 15 start the process, whatever that biological
- 16 process is.
- 17 And then they come back, and they paint
- 18 a known promoter, which is a phorbol ester. And
- 19 then after that, they paint for every day,
- 20 usually every day, with tar. And at the end of
- 21 18 weeks -- 18 months or something, you get --

- 1 you have tumors on the backs of the mice.
- 2 So the dose of the benz(a)pyrene is
- 3 critical. If you don't put that on there or if
- 4 you don't use a phorbol ester, you probably won't
- 5 get any tumors.
- 6 Q. Okay.
- 7 A. So it's not easy to get the tumors off
- 8 the backs of the mice with tar.
- 9 Q. At the point in 1975 had you isolated
- or identified any known promoters in the
- 11 cigarette smoke condensate?
- 12 A. Had I isolated any known promoters?
- 13 Not to my knowledge. We worked -- we worked with
- 14 phenol, as we discussed this morning, and the
- 15 phenolic fraction, and we studied those.
- 16 But whether -- you know, whether or not
- they're really important in to this tumorgenicity
- 18 we're talking about with mice in the real world,
- 19 I don't know. But we -- you know, we did analyze
- 20 for the phenol.
- Q. As of your retirement in July of 1998,

- 1 had your lab or Lorillard isolated any known
- 2 promoters of -- similar to -- any known promoters
- 3 of the carcinogenic effects of the cigarette
- 4 smoke condensate?
- 5 MR. GUSTAFSON: Objection to the extent
- 6 it requires speculation.
- 7 A. No, we have not identified any.
- 8 Q. And just turning back to 11,704, the
- 9 October 1971 memo, who is Dr. C.I. Lewis?
- 10 A. He's a research chemist, worked in this
- 11 research group for a number of years. And then I
- think I was probably reporting to him in 1971.
- 13 Q. What's his first name?
- 14 A. Claude.
- 15 Q. Claude?
- 16 A. Claude I. Lewis.
- 17 Q. And were you also reporting to
- 18 Dr. Spears in 1971?
- 19 A. You know, I -- I've got four or five
- 20 bosses up above me and always have had. And I
- 21 think Dr. -- Probably Dr. Lewis was reporting to

- 1 Dr. Spears or maybe -- or maybe. I don't know
- where Dr. Spears was in 1971. I don't know
- 3 whether he was head -- I don't know if he was
- 4 head of research or not at that time.
- 5 Q. Okay. Is it your understanding that
- 6 Dr. Lewis was at least a lower point in the
- 7 hierarchy of the administration there in the
- 8 research department?
- 9 A. Than Dr. Spears?
- 10 O. Yes, sir.
- 11 A. Yes, I'm sure he reported to
- 12 Dr. Spears. But I don't know whether Dr. Spears
- 13 was the head man or not.
- 14 Q. Okay. Let me ask you on the same
- document, marked 11,704, you have a -- kind of a
- 16 schematic, where you start off ten grams. I
- 17 don't have a page number. I got it. It's
- 18 number -- page six, where you start off with ten
- 19 grams of dried condensate, and after, I quess,
- one, two, three, four, approximately nine
- 21 separation steps, you come down to two fractions

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- 1 at the bottom. Do you see that, sir?
- 2 A. Yes.
- Q. Okay. Fraction A and fraction B, is
- 4 fraction B essentially the -- in layman's terms,
- 5 the tar fraction from this original sample?
- 6 A. No. The ten gram, that's tar --
- 7 Q. Okay.
- 8 A. -- at the top. And then you just, you
- 9 know, fractionate. It's all tar, fractions of
- 10 tar.
- 11 Q. Understood. And you have a question
- 12 mark under fraction B. Why is there kind of an
- 13 unknown or question mark there? Way at the
- 14 bottom, when you've completed your separations.
- 15 A. I think that says don't know what
- 16 to do with that. On over on A, we say gas
- 17 chromatograph. That would give us the profile
- 18 that I was talking about.
- 19 Q. And is that reflected on the next page
- 20 with the peaks?
- 21 A. Yes.

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- 1. Q. Did you -- at least for the fraction B,
- 2 did you also run that on a gas chromatograph and
- 3 obtain peaks for that, as well?
- 4 A. No. You see under the benzene, it
- 5 says, "evaporate and distill under vacuum"? Well,
- 6 gas chromatography is a system that depends on
- 7 separation by heat. And this undistilled amount
- 8 would be too high boiling. You could never run a
- 9 gas chromatograph on it. So you'd have to
- 10 profile it by some other means.
- 11 Q. Did you determine another means to
- 12 profile this other fraction?
- 13 A. Not -- not in '71. You know, later
- 14 they were probably techniques, but not at that
- 15 time. And that's -- I think that's why the
- 16 question mark is there; that we didn't have
- 17 the -- didn't know how to do it at that time.
- 18 Q. Okay. But up it's fair to say in 1975,
- 19 with your next memorandum, you had determined a
- 20 way to do that, and based upon the results of
- 21 that method, you were able to progress with the

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- 1 mouse painting studies?
- 2 A. No.
- 3 MR. GUSTAFSON: Objection,
- 4 mischaracterizes his testimony.
- 5 A. No. We -- apparently between the '71
- 6 and this, we didn't pursue -- we did this work.
- 7 I remember -- I remember distilling these
- 8 fractions or to get fraction A. Well, wasn't
- 9 this fraction A?
- John, I think we -- we took formic acid
- 11 fraction here, and we didn't do this type of
- 12 distillation. We went to the solvent
- 13 partitioning, instead of this.
- 14 Q. Okay. Let me ask you another question
- in the context of documents you have in front of
- 16 you.
- 17 MR. ANGELOS: We're going to go ahead
- and have this marked as 11,706.
- 19 (Deposition Exhibit Number 11,706 was
- 20 marked for Identification.)
- Q. Take a look at that, sir.

- 1 A. (Complying.)
- Q. For the record, this is
- 3 a document dated January 12th, 1973,
- 4 submitted by Dr. A.M. Ihrig, I-H-R-I-G,
- 5 and it's entitled, "Chloroform,"
- 6 C-H-L-O-R-O-FO-R-M, "extract."
- 7 Sir, I'm going to ask you to stop at
- 8 the second paragraph, so we can see where we
- 9 stand on this one first. I see on the last a
- page that this document, according to the author
- 11 was cc'd to Mr. James Bell. Would that be you?
- 12 A. Yes.
- Q. Can you identify the author? Who is
- 14 Dr. A.M. Ihrig?
- 15 A. He's a physical chemist that worked in
- 16 product development.
- 17 Q. First name?
- 18 A. Art, Arthur.
- 19 Q. And this memorandum, dated January 12,
- 20 1973, is addressed to Mr. C.L. Tucker. Who is
- 21 that?

- A. That's Charles Tucker, and I -- he was
- 2 director of product development.
- 3 Q. Okay. Was Dr. Ihrig working
- 4 concurrently with you on the formic acid fraction
- 5 testing, as he indicates in paragraph two? He
- 6 doesn't say he was doing it with you, but was he
- 7 working concurrently on the fraction, on the
- 8 formic acid fraction of cigarette tars?
- 9 MR. GUSTAFSON: Objection to form.
- 10 A. No. no. He was not.
- 11 Q. Okay. Do you have any knowledge about
- this, the use of chloroform in the formic acid
- 13 tests that you participated in?
- A. I don't remember this work. I don't --
- 15 I don't remember this work at all. Whether -- I
- 16 remember vaguely some -- some formic acid
- 17 extract. But, you know, I don't remember -- I
- 18 don't remember doing it.
- 19 Q. Is there, if you know, a reason why Dr.
- 20 Ihrig would cc this document to you?
 - 21 MR. GUSTAFSON: Objection, calls for

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- 1 speculation.
- 2 A. I think it's likely that I did the
- 3 extract and supplied him some cigarettes or
- 4 something for analyses. And he may have done
- 5 some analysis and sent me the report back.
- 6 Q. Okay. Did you provide him the
- 7 chloroform to use in his analysis?
- 8 A. The chloroform extract?
- 9 Q. Yes, sir.
- 10 A. I think I probably did.
- 11 Q. Okay. Did you at any time discuss
- 12 these results of the increase in expected
- 13 quantity of nicotine with Dr. Ihrig or anyone
- 14 else related to this issue?
- 15 A. After this came out, did we sit down
- '16 and discuss this?
- 17 Q. Or in the course when you were
- 18 requested to provided chloroform extract, did you
- 19 sit down and discuss any of the results or
- 20 anything related to this project?
- MR. GUSTAFSON: Objection, compound.

- 1 A. I don't remember discussion discussing
- 2 it.
- 3 Q. Okay. Were you working on any projects
- 4 in this 1973 time frame dealing with nicotine?
- 5 A. No.
- 6 Q. Dr. Ihrig states on page two in the
- 7 third paragraph that, "All ten members of the
- 8 panel agreed that the chloroform extract improved
- 9 the flavor."
- 10 Do you know what panel or I -- let me
- 11 ask you. Was there some type of testing or
- 12 tasting panel in your laboratory that this
- 13 doctor's referring to?
- 14 A. Yes, that's right. We had a smoking
- 15 panel.
- Q. Who were the people that were --
- 17 A. They were product development people,
- 18 and the composition of that has changed many,
- many times over the years, and I have no idea.
- 20 Just most of all the product development people
- 21 were on that.

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- 1 Q. They were employees of the company in
- 2 the product development department, and they also
- 3 participated in kind of tasting or tasting of the
- 4 smoking devices?
- 5 A. Right. Right.
- 6 Q. Did you ever participate in that
- 7 yourself?
- 8 A. Not officially. I -- you know, I
- 9 smoked cigarettes. And if I did something, then
- just out of my own curiosity, I would smoke it.
- 11 But not officially, 'cause I don't have that
- 12 expertise, except for the gun powder.
- 13 Q. Did you ever smoke any of those ones
- 14 that tasted like maple, tasted like maple
- 15 extract?
- 16 A. I probably did.
- 17 Q. Now, you're under oath. Are there any
- other sick flavored cigarettes that you created
- and made yourself that you only smoked for your
- 20 own personal taste?
- MR. GUSTAFSON: But did you inhale?

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- 1 Did you inhale the smoke?
- 2 A. I have never smoked marijuana.
- 3 Q. It's interesting you mentioned that
- 4 word, and in review of some documents, there was
- 5 some mention by Lorillard Tobacco Company in the
- 6 investigation of using marijuana as either an
- 7 additive or a supplement to the cigarettes. Do
- 8 you recall that?
- 9 A. No.
- 10 Q. No? Was there any discussion among
- 11 your research group from the entire period you
- were there dealing with anything related to
- marijuana and its use concurrently with
- 14 cigarettes or in some type of fashion -- using
- marijuana in any type of Lorillard cigarettes?
- 16 A. Not -- not anything serious, no.
- 17 Q. When you say nothing serious, was there
- 18 any --
- 19 A. There could have been jokes about it or
- 20 something, but --
- Q. Okay. Let me show you this.

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- 1 MR. ANGELOS: Let's go ahead and mark
- 2 it then. Mark this 11,707.
- 3 (Deposition Exhibit Number 11,707 was
- 4 marked for Identification.).
- 5 Q. This is a handwritten letter written by
- Fred, and for the purposes -- well, I'll --
- 7 concerning a conversation that took place in
- 8 1972, addressed to Dr. Spears.
- 9 And the first paragraph states, "The
- 10 attached list of present or possible future
- 11 projects is a result of discussion between myself
- 12 and Drs. Minnemeyer," I'm going to say, "Lawes,
- Tong, " and looks like "Messrs. Bell and Wagner."
- 14 Do you see that in the first paragraph?
- 15 A. Yes, I do.
- 16 Q. And it's authored by someone named
- 17 Fred, it says on the second page. If you were
- sitting around in a meeting with these gentlemen
- in 1972, would that Fred person have been Dr.
- 20 Schultz, Dr. Fred Schultz?
- 21 A. I think so.

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- 1 Q. Did you have -- all right. Let me ask
- 2 you to turn to the last page of the entire
- 3 document. You can take time to read it.
- 4 Let me ask you this. Did you get a
- 5 chance to take a look at this and read it and see
- 6 what it says?
- 7 A. No, uh-uh.
- 8 Q. And see if you recall this meeting or
- 9 this discussion among this group of your
- . 10 colleagues.
- 11 A. (Complying.)
- 12 Q. Mr. Bell, I'm only going to ask you
- about the last one, so you can kind of skip
- 14 over --
- 15 A. Okay.
- 16 Q. The last one on the last page, there's
- 17 a little -- the last paragraph says, "marijuana,"
- and it says -- it's written, says, "Obtain
- 19 background information in this area by surveying
- 20 and monitoring the literature. Investigate the
 - 21 possibility of making marijuana cigarettes on

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- 1 government contract for investigative purposes
- 2 and contract for investigating same. Project
- 3 objective would be to in advantageous position in
- 4 the event marijuana were legalized and Lorillard
- 5 wished entry into the field."
- 6 Was that your suggestion to the group
- 7 at this meeting in '72?
- 8 A. No. No, it wasn't my suggestion.
- 9 Q. Let me ask you this, in all candor.
- 10 Was this a reasonable discussion or suggestion
- . 11 issued back in 1972?
 - MR. GUSTAFSON: Object to the form,
 - 13 vague and ambiguous.
 - 14 A. Is this suggestion with the marijuana
 - 15 reasonable? Yeah, it might be a reasonable
 - 16 suggestion. But I can tell you, it would have
 - 17 never been considered serious, because I think it
 - was known that if anyone brought marijuana in
 - 19 close to that manufacturing site, they would be
 - 20 out of there really fast.
 - 21 And I think -- I'm surprised that's

- 1 even in here, but if it's legalized and we were
- 2 in -- you know, we could manufacture it, then,
- 3 you know, it would be all right.
- Q. Okay. Let me show you a document I'm
- 5 going to mark as 11,708.
- 6 (Deposition Exhibit Number 11,708 was
- 7 marked for Identification.)
- 8 Q. Let the record reflect that
- 9 Mr. Gustafson believes that the State of
- 10 Kansas should consider the --
- MR. GUSTAFSON: No.
- 12 MR. ANGELOS: It's determined in 1998
- 13 that marijuana is illegal in the State of
- 14 Kansas --
- MR. GUSTAFSON: I object strenuously to
- 16 this colloquy there's absolutely for foundation
- whatsoever for those statements.
- 18 Q. Sir, I showed you a document under
- 19 Lorillard letterhead, dated June 28, 1979, and
- 20 I'm going to ask you to go ahead and turn forward
- 21 specifically to page -- and there are no actual

- 1 page numbers, but it's going to be like what they
- 2 call Bates numbers, called 187 are the last
- 3 digits. It's probably about half way, a little
- 4 less than halfway through. And that page in the
- 5 upper left-hand corner will say, "J.H. Bell."
- 6 A. Oh.
- 7 Q. See that?
- 8 A. Yeah.
- 9 Q. Let me ask you. This document is
- 10 authored by S.T. Jones. Do you know who that is?
- 11 A. Yes, I do.
- Q. What's Mr. Jones' first name?
- 13 A. That's Steven Thomas Jones.
- 14 Q. And what department was he employed by
- 15 at Lorillard?
- 16 A. In 1979, you know, I don't know where
- 17 he was in 1979. I can tell you his history, but
- 18 I don't know where he was in 1979.
- 19 Q. Is he still employed by the company?
- 20 A. Yes, he's the director of product
- 21 development.

- Q. And, at least on the -- it says xc,
- 2 someone who would have received copies of these,
- 3 it says Mr. J.R. Ave, on the front page?
- 4 A. Hm-hmm.
- 5 Q. What was his title, if you recall, in
- 6 1979?
- 7 A. I think he was president of Lorillard,
- 8 president of Lorillard.
- 9 Q. Is that the correct pronunciation?
- 10 A. Ave.
- 11 Q. And it also says Dr. Spears, and the
- 12 next one is Mr. Goldbrenner. Who is that?
- 13 A. He was in our legal department.
- 14 Q. Okay. And what was his first name, do
- 15 you know?
- 16 A. Ron. Ronald, I guess.
- Q. Okay. Had you met Mr. Goldbrenner
- during the course of your employment of 40 years
- 19 at Lorillard?
- 20 A. I knew him. We had met, but not very
- 21 closely. He was in the New York office.

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Q. All right. Back to that page, I kind
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- of noted which ends in Bates number 187, I'd ask
- 3 you to go ahead and read those three paragraphs.
- 4 And my question is, is, were these -- Mr. Jones
- 5 states in the front that these ideas are
- 6 submitted by R and D. And I'm -- my question is,
- 7 did you submit this idea to Mr. Jones while you
- 8 were employed in the R and D, research and
- 9 development department, at Lorillard?
- 10 A. Let me read these.
- 11 Q. Yes, please.
- 12 A. I would assume that I submitted these.
- 13 Q. Would you have submitted them to
- 14 Mr. Jones or maybe your department head, if
- 15 you recall?
- 16 A. I don't remember how they were derived.
- 17 Q. Okay. At least for number one on the
- 18 suggestions, you state, "We should develop very
- 19 efficient filters for the removal of carbon
- 20 dioxide, " and "I believe that's, NO2, " which is
- 21 nitrous oxide.

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- Do you know if that suggestion or
- 2 recommendation was ever acted upon?
- 3 A. Yes, I -- I think that there's work
- 4 going on till now, up till I left the company,
- 5 working on this issue.
- 6 Q. Okay. And why did you suggest the
- 7 removal of carbon dioxide in this situation here?
- 8 Let me put it to you this way. What purpose
- 9 would it serve to develop an efficient filter for
- 10 the removal of carbon dioxide?
- 11 A. That's a good question. I think carbon
- monoxide would have been a better one to remove.
- I don't know why I wanted to remove carbon
- 14 dioxide.
- 15 Q. Okay. How about for the nitrous oxide?
- 16 What purpose would that serve?
- 17 A. There are probably some people that
- think that they're probably -- that's a very
- 19 active species, and that, you know if you can
- 20 remove it from cigarette smoke, then the better.
- Q. When you say an active species, what do

- 1 you mean by that?
- A. It's just it's -- chemically, it's an
- 3 active compound that can interact with a lot of
- 4 other things in smoke. And, you know, although I
- 5 don't know of any known diseases that is caused
- 6 by this, if you can take it out, then, you know,
- 7 it would -- it might be good to take it out, is
- 8 my own personal opinion.
- 9 Q. Okay. In number two, kind of go
- 10 to the second sentence here, and you stated,
- "We should also investigate more fully,"
- 12 quote/unquote, "impact so that we can simulate
- 13 this effect with either a tobacco extract or
- 14 chemical addition."
- 15 Could you tell us what you are
- 16 discussing or talking about when you use the
- word "impact" in the context of this statement?
- 18 A. It's hard to explain. When I use the
- 19 word "impact," it has to do with the strength of
- 20 the tobacco and how it feels at the back of your
- 21 mouth and in the back of your mouth when you

- 1 smoke it.
- 2 If you smoke, you want some taste
- 3 sensation, and impact is part of the thing that
- 4 most people will say they want. For instance,
- flue-cured tobacco has a little bit of impact,
- 6 but not much. Burley has a strong impact, and
- 7 that's why we blend the two.
- 8 So when I use "impact," it has to do
- 9 with that sensation or feeling in the mouth and
- 10 throat that you get from smoking full-flavored
- 11 cigarettes.
- 12 Q. Well, if you can get that impact from,
- 13 I guess, the blending of the burley tobacco with
- the flue-cured tobacco, why would you want to
- 15 simulate the effect with an extract or chemical
- 16 addition?
- A. Let me think. Let me try to figure out
- what I meant by this. '79, I think probably
- 19 along this time frame that cigarettes were
- 20 becoming lower and lower tar and nicotine
- 21 yielding. I don't know when we developed Kent 3

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- and the Triumph and the Golden Light, but these
- 2 cigarettes were down to three milligrams of tar.
- And, frankly, a lot of people don't
- 4 like that low tar cigarette. They wanted -- they
- 5 wanted more taste and more satisfying smoke. And
- 6 I think probably where I'm coming from on this
- 7 is, you know, if you can make that
- 8 three-milligram tar cigarette taste like a
- 9 ten-milligram cigarette, then everyone is better
- 10 off. The consumer is satisfied, but yet they're
- 11 getting less tar.
- 12 Q. In your almost 40-year history with
- 13 Lorillard, did you ever identify, isolate, read
- 14 about any tobacco extract or chemical addition
- that would permit you to get that ten-milligram
- 16 tar flavor at a three-milligram level?
- 17 A. I mean, this is a classic problem in
- 18 the cigarette industry that many people worked
- 19 on. Flavor houses worked on this problem. It
- 20 was, you know, research around the world working
- 21 on this same issue.

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And I'm not in product development.
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- 2 And, you know, I don't know if they were able to
- 3 maximize the full flavor, nice tasting cigarette
- 4 with the lowest possible tar yield. But, you
- 5 know, that was our goal to do that.
- 6 I'm sure they made inroads. I'm sure
- 7 they found ways to improve that good, acceptable
- 8 taste, as low tar as we could get. I'm sure
- 9 that, you know, they worked on that. But I
- 10 personally didn't work on that. That's -- I'm
- 11 not in -- I wasn't in product development.
- 12 Q. You were in research and development?
- 13 A. Research.
- 14 Q. Research.
- 15 A. Basic research.
- 16 Q. Did the research department also do
- 17 work on nicotine while you were employed there
- 18 for the 40 years?
- 19 MR. GUSTAFSON: Objection to form.
- 20 A. Did anyone in research have -- yeah,
- 21 that we studied. Nicotine was studied.

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- 1 Q. Okay. Is it fair to say that for the
- 2 entire 40 years that you were there that nicotine
- 3 was studied?
- 4 MR. GUSTAFSON: Objection to form,
- 5 vague and ambiguous without context.
- A. As I say, you know, there were -- there
- 7 were -- there were studies on nicotine, yes.
- Q. Okay. Is there any particular reason
- 9 why you would suggest some product improvement
- 10 for something outside your division, such as what
- 11 you're suggest -- strike that.
- On number three, you state that,
- 13 "It's in some situations today, it's almost
- 14 embarrassing to smoke." Is that based upon your
- own personal experience or observations you made
- 16 of others?
- 17 A. I think that's a personal observation.
- 18 Q. And then you state, "We should attempt
- 19 to make smoking as elegant as possible."
- 20 Is that based upon your personal
- 21 experience?

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- 1 A. It's my personal idea, yes.
- MR. ANGELOS: Do you want to take five,
- 3 guys?
- 4 THE VIDEOGRAPHER: Off the record, end
- of tape three at 2:43 P.M.
- 6 (Recess taken -- 2:43 P.M.)
- 7 (After recess -- 2:54 P.M.)
- 8 THE VIDEOGRAPHER: Starting of tape
- 9 four, on the record at 2:54 P.M.
- 10 Q. Mr. Bell, I want to ask you a couple of
- 11 follow-up questions from a prior document. It's
- 12 11,704, the October 27, 1971, memo you wrote to
- 13 Dr. Lewis.
- MR. GUSTAFSON: And it's 11,704.
- MR. ANGELOS: Yes.
- 16 Q. On page two, and we had earlier talked
- 17 about this, about moving into the second phase of
- 18 this project to make a safer cigarette. Could
- 19 you define for me what you mean or meant by the
- 20 use of the terms "safer cigarette" in the context
- 21 of this memorandum?

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- 1 A. What I meant is, if you have a
- 2 cigarette and you paint the condensate from that
- 3 cigarette on the backs of mice and get tumors,
- 4 then if you did something to that cigarette and
- 5 modified the tar in some way and painted it and
- 6 got less tumors, then you would say we have a
- 7 less -- a safer cigarette. You could use that
- 8 term. And that's how that term is applied here.
- 9 Q. Okay. And are you using that term as
- 10 it applies simply to the mice painting
- 11 experiments?
- 12 A. Yes, 'cause that's our reference. I
- 13 mean, that's the reference for the industry, I
- 14 quess.
- 15 Q. Okay. And we talked about this before,
- 16 but you said mice don't consume or smoke
- 17 cigarettes, but human beings do. Does that same
- 18 discussion or the description of the safe
- 19 cigarette apply to human beings or just to the
- 20 tumors on the mice's backs?
- 21 MR. GUSTAFSON: I'll object to the

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- 1 form.
- A. I don't think I understood the question
- 3 exactly. Would you mind repeating it?
- 4 Q. Sure. Is the characterization of safer
- 5 cigarette, is that a safer cigarette for the mice
- 6 or safer cigarette for human beings?
- 7 MR. GUSTAFSON: Objection. The
- 8 question's argumentative.
- 9 A. Well, yeah. No, the standard is mice
- 10 painting experiment. That's the only standard
- 11 that we have and that we had then and probably
- 12 still have. So it just refers to the generation
- 13 of tumors on the backs of the mice.
- 14 Q. So, essentially -- I don't want to put
- any words in your mouth, but this project you did
- 16 with formic acid and the other investigation is
- only for the purpose of making a safer cigarette
- 18 for the purposes of the mice -- the tumors on the
- 19 mice's back. Is that fair to say?
- 20 MR. GUSTAFSON: Objection,
- 21 mischaracterizes his testimony.

- 1 A. That's the only way that we have -- the
- 2 painting of the mice is the only way we have to
- 3 measure the activity of mice -- activity of
- 4 condensate in digarettes. So, you know, that's
- 5 our reference. That's the bioassay that we use.
- 6 So that's the only thing we can go by, that I
- 7 know anything about.
- 8 Q. So, in your description or definition
- 9 of safer cigarette, does it have any application
- 10 to human beings or consumers of Lorillard tobacco
- 11 products?
- 12 A. No, only in reference to the mice.
- 13 Q. Do you believe a lower tar cigarette is
- 14 a safer cigarette?
- 15 MR. GUSTAFSON: Objection to form,
- inadequate context, vague and ambiguous.
- 17 A. Not being an M.D. or someone that's
- highly knowledgeable in that area, it's hard for
- 19 me to make a judgment on that. But, you know, my
- 20 personal opinion is probably not.
- 21 Q. Do you believe a filtered cigarette is

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- 1 a safer cigarette?
- 2 MR. GUSTAFSON: Objection to form,
- 3 again inadequate context, vague and ambiguous.
- A. Well, I think my answer would be the
- 5 same. I don't -- I'm not a expert in that area.
- 6 I'm not -- but my personal opinion it probably
- 7 would not be safer.
- 8 MR. ANGELOS: Let me have this marked
- 9 as Plaintiff's Exhibit 11,709.
- 10 (Deposition Exhibit Number 11,709 was
- 11 marked for Identification.)
- 12 Q. Show this to you, sir. This is a
- 13 letter dated October 25th, 1979, to Dr. Alexander
- 14 Spears from Dr. Dietrich Hoffmann. And on the
- 15 top of the page your name is written,
- 16 handwritten. It says, "Jim Bell." Do you recall
- 17 ever seeing this correspondence, sir, in dealing
- with, I guess, a discussion between Spears and
- 19 Hoffmann?
- 20 A. I think I remember this.
- 21 Q. Okay. Were you the individual who was

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- 1 requested to forward cigarettes to Dr. Hoffmann
- 2 with these characteristics that are listed I
- 3 guess in the second full paragraph? And he calls
- 4 them 85 millimeter, made of identical blended
- 5 tobacco with and without cellulose acetate filter
- 6 tip. Is that you who would have forwarded those?
- 7 A. You know, I don't remember exactly. I
- 8 would probably or would have arranged to have
- 9 those cigarettes made and sent to him. I was
- 10 probably responsible for sending those to
- 11 Dr. Hoffmann.
- 12 Q. Dr. Hoffmann? Okay. What are the
- 13 cyclic nitrosamines that are referenced in this
- 14 letter?
- MR. GUSTAFSON: Objection.
- 16 Q. Can you define those for us?
- 17 MR. GUSTAFSON: Objection, requires
- 18 speculation.
- 19 A. Well, it is -- I would be
- 20 speculating. Okay. Well, the last paragraph,
- 21 he says, "alkaloid-derived nitrosamines," so I

- guess they're what we call tobacco-specific
- 2 nitrosamines.

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- 3 Q. And are these nitrosamines, to your
- 4 knowledge, carcinogenic?
- 5 MR. GUSTAFSON: Objection, vague and
- 6 ambiguous.
- 7 A. Again, you know, that's not my field,
- 8 determining things like that. I think the
- 9 literature in some test animals perhaps some
- 10 nitrosamines might be carcinogenic. I don't
- 11 know.
- 12 Q. Can you isolate nitrosamines in the
- 13 cigarette smoke condensate?
- 14 A. Yes.
- Q. And are nitrosamines a component of all
- 16 cigarette smoke condensate?
- 17 A. I believe that's correct.
- 18 Q. Let me show you 11,710.
- 19 (Deposition Exhibit Number 11,710 was
- 20 marked for Identification.)
- Q. This is a letter dated July 9th, 1980,

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- to Dr. Schultz, Fred Schultz, from Dietrich
- 2 Hoffmann. And on the cc's at the bottom it
- 3 indicates Dr. Spears and Dr. -- Pardon me -- J.
- 4 Bell. Take a look at that and see if you recall
- 5 seeing this letter before.
- 6 A. Okay.
- Q. Okay. Do you recall seeing this letter
- 8 before?
- 9 A. I think I have, yeah. I think I
- 10 remember this.
- 11 Q. Okay. And do you recall that there was
- 12 a draft of a proposal or paper attached with this
- 13 letter? And I'm just citing the second sentence?
- 14 A. I don't -- I don't remember that.
- 15 Q. Did Dr. -- Pardon me, yes -- Dietrich
- 16 Hoffmann states, "We intent to submit the paper
- 17 for publication to a scientific journal." In
- 18 fact, the first sentence says, "please find
- 19 enclosed."
- 20 A. Hm-hmm.
- 21 O. Did you see enclosed draft which was

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- 1 entitled, quote, "On the Selective Reduction of
- 2 Tobacco Specific N-Nitrosamines from Cigarette
- 3 Smoke, " close quote. Do you recall seeing that
- 4 document?
- 5 A. I think I read that, yes.
- 6 Q. Did you make, you yourself make any
- 7 comments and forward them to Dr. Hoffmann?
- 8 A. I can't recall if I did or not.
- 9 Q. It appears that Dr. Hoffmann has some
- 10 professional respect for you, in the sense that
- this is addressed to Dr. Schultz and cc'd to
- 12 Dr. Spears, and Hoffmann is a Ph.D. and you are
- 13 not, and he asks for your comments.
- 14 What kind of business or work
- 15 relationship did you have with Dr. Hoffmann
- 16 throughout your, I guess, your interaction or
- 17 career at Lorillard?
- 18 MR. GUSTAFSON: I'm going to move to
- 19 strike counsel's preamble to the question.
- There's no foundation for that preamble.
- 21 A. I think we respected each other as

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- 1 scientists, and you know, I think we were
- 2 friends.
- Q. Okay. And, in fact, about this date,
- 4 this is close to your 20-year anniversary with
- 5 Lorillard; is that correct? In July 1980?
- A. Yes, that's correct.
- 7 Q. And you had known Dr. Hoffmann for
- 8 almost that full period of 20 years; is that
- 9 right?
- 10 A. Almost.
- 11 Q. Okay. And in July of 1980, was Dr.
- 12 Schultz your direct supervisor at this time?
- 13 A. Yes, he was.
- 14 Q. Okay. Do you know if this paper was
- 15 ultimately submitted and published that is
- 16 referenced in this letter?
- 17 A. I think that this -- I think this was
- 18 premature. I think that paper was not published.
- 19 Q. Okay. When you say premature, what do
- 20 you mean by that?
- 21 A. I think -- I think in later -- at later

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- 1 times, I think I remember seeing a retraction of
- 2 this selective filtration. That was something he
- 3 thought had occurred but had not occurred. And,
- 4 in fact, there was not selective filtration of
- 5 nitrosamines.
- 6 Q. Is Dr. Hoffmann alive today?
- 7 A. In poor health, but still alive the
- 8 last time I -- last I heard. He's --
- 9 Q. When's the last time you spoke to him?
- 10 A. I would say almost two years ago.
- 11 Q. Okay. And where was he located at that
- 12 time?
- 13 A. Where did I see him?
- 14 O. Yes, sir.
- 15 A. I just, I think just said hello and
- spoke to him briefly at a tobacco research
- 17 chemists conference. And I'm not sure where
- 18 that -- I'm not sure where that was. Maybe
- 19 Richmond.
- 20 Q. Okay. Let me show you what we're going
- 21 to mark as 11,711.

- 1 (Deposition Exhibit Number 11,711 was
- 2 marked for Identification.).
- 3 Q. I actually gave these to you out of
- 4 order, sir. This is dated March 31st, 1980,
- 5 actually before the last letter you just saw.
- 6 But -- Pardon me. This is from Dr. Schultz, Fred
- 7 Schultz, and also has Mr. J.H. Bell at the
- 8 signature line to Dietrich Hoffmann.
- 9 Did you write this letter or did
- 10 Dr. Schultz write this letter? Or if that's
- not the case, did you write it together?
- 12 A. I would assume that Dr. Schultz wrote
- 13 it.
- 14 Q. I know your signature's not here, but
- 15 did you review it or look at it before it was
- 16 sent off?
- 17 A. I don't remember.
- 18 Q. Okay. And there's a Dr. V. -- Dr. Vela
- 19 Norman. Who is Dr. Norman? What is his position
- 20 at Lorillard?
- 21 A. He's now vice-president of research

- 1 presently.
- Q. In 1980, do you know what his title
- 3 was?
- A. In 1980, I think he was probably a
- 5 scientist.
- 6 Q. Scientist?
- 7 A. Hm-hmm, which is a research, research
- 8 chemist or -- well, his title would be scientist,
- 9 I believe.
- 10 Q. And the last sentence of the letter,
- 11 it's -- Dr. Schultz writes that "Jimmy Bell will
- handle the details from our end, as before." Do
- 13 you know what details Dr. Schultz is referring to
- in the context of this document?
- 15 A. Well, I think he's talking about
- 16 securing these samples and mailing them to
- 17 Dr. Hoffmann.
- 18 Q. Okay. And did you secure those
- 19 samples?
- 20 A. I don't remember doing it, and I
- 21 would -- I would have just passed this on to

- 2 this, but I could assume that it was done and
- 3 that they were mailed and -- if we did send them.

someone else to be done, 'cause I couldn't do

- 4 Q. What about this project that you
- 5 couldn't handle? Why is it that you couldn't
- 6 handle or get these --

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- 7 A. 'Cause I don't make filters, and this
- 8 is a filter addition, the triacetin plasticizer
- 9 goes on the filter, and we don't do that in the
- 10 lab. That's done in a pilot plant operation with
- 11 pilot plant technicians and those people that do
- 12 that type of thing.
- 0. Is that still done in-house at
- 14 Lorillard, though?
- 15 A. Yeah, in our pilot plant.
- 16 Q. Pilot, did you say?
- 17 A. Pilot, and it would have been done by
- 18 product development people and not research
- 19 people.
- 20 Q. Okay. And when they talk about the
- 21 removal of NNN, what does that stand for?

- 1 A. That's nitroso nornicotine, nitroso
- 2 nornicotine.
- 3 Q. Is that a nicotine analog?
- 4 MR. GUSTAFSON: Objection to form. It's
- 5 vague and ambiguous.
- 6 A. I don't think you'd call it an analog.
- 7 Q. What would you call it as relates to
- 8 the actual nicotine component in the cigarette?
- 9 MR. GUSTAFSON: Objection to the form
- 10 of the question.
- 11 A. It's a nitroso compound, and it's
- 12 formed from the reaction of nicotine in nitrate
- or nitrite. But it's just a product. It's
- 14 not -- it's just a new compound. It's hard to
- say how it relates to nicotine.
- 16 Q. Okay. Would you consider that a tar,
- 17 the nitroso nornicotine?
- 18 A. Well, tar is, you know, is a collective
- 19 term of everything in there, and it's part of it,
- 20 so it's part of the tar.
- 21 Q. Okay. It's also in the F.T.C. ratings,

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- they list nicotine as well. Would nitroso
- 2 nornicotine be a component or a constituent of
- 3 that nicotine measurement?
- 4 A. No, no.
- 5 Q. If you know, is nitroso normicotine is
- 6 a carcinogen?
- 7 MR. GUSTAFSON: Objection to the form
- 8 vague and ambiguous, without context.
- 9 A. Yeah, I don't know if it is or not.
- 10 Q. Are there components in the,
- 11 quote/unquote, tar that are not carcinogens?
- MR. GUSTAFSON: Same objection.
- 13 A. You know, when you ask, is it a
- 14 carcinogen, I mean, a lot of things taken in
- large amounts might be. So, you know, you can't
- 16 really answer that question very clearly. I
- 17 don't know how to answer it.
- 18 Q. I'll show you what I'm going to mark as
- 19 Plaintiff's Exhibit 11,712. See if you recognize
- 20 that, sir.
- 21 (Deposition Exhibit Number 11,712 was

- 1 marked for Identification.)
- Q. I'm going to attempt to represent to
- 3 you that this was the article that was attached
- 4 to that letter, which it may have been referenced
- 5 in the prior exhibit.
- 6 Let me ask you, sir. This is
- 7 entitled, "Chemical Studies on Tobacco Smoke on
- 8 the Selective Filtration of Tobacco Specific
- 9 N-Nitrosamines," and it's called, "Progress
- 10 Report," dated 3/18, 1980, by D. Hoffmann and
- 11 J.D. Adams.
- 12 Do you recall seeing this document
- 13 before, sir?
- 14 A. I think I've read this document.
- 15 Q. Okay. Is this the draft document that
- 16 we spoke about before that Dr. Hoffmann forwarded
- 17 to Lorillard in 1980?
- 18 MR. GUSTAFSON: It was 7010 was the
- 19 prior copy?
- 20 THE WITNESS: 7011.
- 21 MR. GUSTAFSON: Yeah. Here's my copy.

- 1 A. It appears to be.
- Q. Okay. And the upper left-hand corner,
- 3 it appears that Fred, or Fred Schultz, has
- 4 written, "Let's discuss these results with Jim-
- 5 Bell, " and I can't read the next two words, looks
- 6 like "Wednesday in the morning, a.m." And if
- 7 that's incorrect, we'll -- do you recall
- 8 discussing this paper with Dr. Schultz?
- 9 A. Actually, I do not recall it.
- 10 Q. Okay.
- 11 MR. GUSTAFSON: I just want to note for
- 12 the record that the title for this document --
- 13 MR. ANGELOS: Slightly different?
- MR. GUSTAFSON: -- 11,712, is different
- 15 from the title of the document referenced in
- 16 Exhibit 11,710.
- 17 MR. ANGELOS: I think really "reduction
- in filtration" are the main changes.
- MR. GUSTAFSON: And, in other words,
- 20 there's nothing on the face of the document that
- 21 shows that this, in fact, was the document

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- 1 attached to the letter dated July 9, 1980.
- 2 I'm not necessarily quibbling with your
- 3 statement earlier, John, that it is. I'm just
- 4 saying I don't see anything on the face of the
- 5 document that would prove that.
- 6 Q. Just -- I'm sorry. Just so I'm clear,
- 7 do you believe you've seen this document before
- 8 and read it over, sir, the progress report from
- 9 Doctors Hoffmann and Adams?
- 10 A. Yeah, I would think that I've read
- this, but I don't recall it, but I probably got a
- 12 copy of it.
- 13 Q. Okay. Let's go ahead and put that
- 14 aside then.
- 15 (Deposition Exhibit Number 11,713 was
- 16 marked for Identification.)
- Q. Mr. Bell, let me show you what we're
- 18 going to mark as 11,713. And this is a document
- 19 dated November 18th, 1980. It's a Lorillard
- 20 memorandum to Dr. Schultz from V. Norman, and the
- 21 subject is entitled, "Visit to American Health

- 1 Foundation."
- Do you remember travelling to the
- 3 American Health Foundation back on November 13th,
- 4 1980, with Vela Norman?
- 5 A. I don't remember it.
- 6 Q. No? Where is the American Health
- 7 Foundation located?
- 8 A. It's in Upper -- let's see. It's up in
- 9 Westchester County, up near Valhalla. It's near
- 10 Valhalla, up near Tareytown, that area.
- 11 Q. What state?
- 12 A. New York State.
- 13 Q. But you have no recollection of
- 14 travelling there to meet with Doctors Hoffmann
- and Adams and talking about, at least what the
- 16 memo says, "Deficiencies of the F.T.C. Smoking
- 17 Regime"?
- 18 MR. GUSTAFSON: Objection, asked and
- 19 answered.
- 20 A. I don't -- You know, I don't remember
- 21 being there at this particular time.

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1 Q. Okay. Let me ask you if you can at
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- 2 least define something in here that might be in
- 3 your experience. A fair way down in the first
- 4 paragraph, front page, Norman writes that, "Dr.
- 5 Hoffmann opined that the testing program will be
- taken away from F.T.C. and given to O.R.N.L. Do
- you have any knowledge of what O.R.N.L. Is?
- 8 A. Yes.
- 9 Q. What does that stand for? What is your
- 10 understanding of that abbreviation?
- 11 A. I think that's the abbreviation for Oak
- 12 Ridge National Laboratory.
- 13 Q. Okay. And where is that laboratory
- 14 located, if you know?
- 15 A. In -- outside of Knoxville, Tennessee,
- 16 in Oak Ridge, Tennessee.
- 17 Q. Is that a privately-owned or federally-
- or publicly-owned lab?
- 19 A. Federally. It's a federally-owned
- 20 operation that's managed by somebody for the
- 21 government.

- 1 Q. Okay. Do you know if Dr. Hoffmann had
- 2 any specific relationship with the F.T.C. during
- 3 the period that you knew him?
- 4 MR. GUSTAFSON: Object to the form.
- 5 A. A lot of people knew Dr. Hoffmann, and
- 6 he knew a lot of people, but what -- who knew him
- 7 or what the relationship was, I don't really
- 8 know.
- 9 Q. Okay. And in the, gosh, close to 40
- 10 years or 35 years or so that you knew and spoke
- 11 with Dr. Hoffmann, do you have any knowledge of
- 12 what -- how he received his funding for his
- 13 American Health Foundation?
- 14 A. Well, it was through grants. That's
- 15 all I know.
- 16 Q. Do you have anything knowledge if
- 17 Lorillard ever provided any grants to
- Dr. Hoffmann's American Health Foundation?
- 19 A. I don't know for a fact that we did.
- Q. I'm going to show you a document we're
- 21 going to have marked as 11,714.

- 1 MR. GUSTAFSON: Thank you.
- 2 (Deposition Exhibit Number 11,714 was
- 3 marked for Identification.)
- 4 O. Take a look at this.
- 5 A. Okay.
- 6 Q. This is a document dated August 2nd,
- 7 1982, Lorillard memorandum to M.A. Sudholt, one
- 8 word, S-U-D-H-O-L-T, from Florian, F-L-O-R-I-A-N,
- 9 Perini, P-E-R-I-N-I.
- 10 And in the upper left-hand corner,
- 11 someone has written "J. Bell" up there. And the
- 12 subject matter states, it's called, "Idea
- 13 Session, July 27th, 1982, of Tobacco Science
- 14 Group."
- 15 Mr. Bell, were you a member of the
- 16 tobacco science group in 1982?
- 17 A. Yes, I was.
- 18 Q. And who was Florian Perini?
- 19 A. He's Dr. Perini, and he was a chemist
- 20 in the tobacco science group.
- 21 Q. And who is M.A. Sudholt?

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- 1 A. She was a -- she is a chemist, and she
- 2 was in the analytical group.
- 3 Q. And what was the purpose or function of
- 4 this tobacco science group at Lorillard?
- 5 A. Well, I was head of that group, and I
- 6 don't know if it was ever written or ever stated
- 7 what the specific function was. We were just --
- 8 we were just -- we conducted, you know, certain
- 9 research projects and pertaining to tobacco and
- 10 the analysis of tobacco smoke.
- 11 Q. Were all the members of the tobacco
- science group, which you were the head of, were
- 13 they all from the research department?
- 14 A. Yes, this was a research department
- 15 group.
- 16 Q. Okay. What was Dr. Perini's title in
- 17 the research group here in '82?
- 18 A. He was a senior research chemist.
- 19 Q. How about Sudholt?
- 20 A. At that point she was either research
- 21 chemist or senior research chemist.

- Q. And what was your title at this time?
- 2 A. I was a scientist.
- 3 Q. Scientist? On the next page, under
- 4 number 12, there's a paragraph or an item on
- 5 cigarette holder or an elegant cigarette case.
- 6 And at the end of that, the words written there,
- 7 there's the word "Bell" written in parentheses.
- 8 Was this your idea here as it's written on this
- 9 page?
- 10 A. Yes.
- 11 Q. And on the next page, under number
- 12 18, "video game imagery," the last portion of
- 13 that is Perini and Bell. Do you see that?
- 14 A. Hm-hmm.
- Q. Was this -- this idea or the contents
- of this paragraph suggested by both you and Dr.
- 17 Perini?
- 18 A. Yeah, let me read this, please.
- 19 Q. Sure.
- 20 A. I don't remember that, but, you know, I
- 21 quess, this is something that Florian wrote, and

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- 1 I guess he wanted to give me part credit for
- 2 that. But I don't remember it.
- Q. Did you have any children in high
- 4 school, you yourself around 1982?
- 5 A. Yes, I did.
- 6 Q. You have three children?
- 7 A. Three children.
- 8 Q. Was anyone of the three in junior high
- 9 school during that time period, '82?
- 10 A. My youngest son probably was just
- 11 starting high school.
- 12 Q. Did your youngest son play video games,
- 13 such as Pac Man, Spaces Invaders, Tron, that's
- 14 written here?
- 15 A. Yeah, they did some of that.
- 16 O. Okay. And this idea of video game
- imagery incorporated in the pack design, then in
- 18 parentheses, "youth appeal," was this type of
- 19 suggestion an attempt to get, I guess, high
- 20 school individuals to be attracted to Lorillard
- 21 products?

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- 1 MR. GUSTAFSON: Objection, requires
- 2 speculation about a document he didn't write.
- 3 A. Yeah, I'm not so sure. I don't
- 4 remember what that was all about and, you know,
- 5 where it might go. I don't remember it. I don't
- 6 remember discussing this or having any, really,
- 7 input into this.
- 8 Q. Do you know why your name is listed on
- 9 this, along with Dr. Perini, this idea or subject
- 10 matter for number 18?
- 11 A. I don't -- you know, my group met,
- 12 apparently, and we put together ideas. But, you
- 13 know, I didn't write this up, and I don't
- 14 remember, you know, if I had any strong input
- 15 into that or not.
- Q. Let me ask you. At least the way it's
- written here, it states, "The widespread video
- 18 game craze has certain fundamental features,
- 19 which we could be the first to exploit. Names
- 20 such as Pac Man, Space Invaders, Tron and their
- 21 imagery can imaginatively show up on cigarette

- 1 packs with repeat motives," and in parentheses --
- 2 and I played these games when I was a kid. It
- 3 was like Pac Man thing that crunches the dots,
- 4 and there's a little, kind of ghost-like imagery
- 5 that would chase you around, and that's kind of
- 6 drawn right on here. And in parentheses, it
- 7 says, "And patterns and their bright imagery
- 8 could have lasting appeal."
- 9 Do you agree that that -- about this
- 10 discussion put on here, in which Dr. Perini and
- 11 your name is written after? I mean, is this
- 12 something you talked about and thought was a good
- 13 idea?
- 14 A. It sounds like a very bad idea from
- 15 some research chemists.
- 16 Q. And would that be a bad idea from a
- 17 research chemist named Jimmy Bell?
- 18 A. Yes, it could be.
- 19 Q. Okay. And why would that be a bad
- 20 idea, sir?
- 21 A. Because we certainly didn't want to

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- 1 market anything to- towards youth, and we're not
- 2 marketing people. We were research chemists in a
- 3 lab, so we probably didn't know what we were
- 4 talking about.
- 5 Q. Let me show you a document I'm going to
- 6 mark as 11,715.
- 7 (Deposition Exhibit Number 11,715 was
- 8 marked for Identification.)
- 9 Q. And the first sheet is -- a appears to
- 10 be distribution list of employees or individuals,
- 11 and the third from the left says "J. Bell," up in
- 12 the left-hand corner. I'm going to be on the
- 13 front sheet, sir, just so you --
- 14 A. Oh, yeah, I saw that.
- Q. Okay. And as you were on the next
- page, it's dated December 10th, 1984, it's a
- 17 Lorillard memorandum. And it says to the list,
- which is the list we talked about on the front
- 19 page, from someone named T.D. Bradley. And the
- 20 subject is, "Changes in Deletion of Documents
- 21 Contained on Your Diskettes." Who is T.D.

- 1 Bradley?
- 2 A. I have no idea.
- 3 Q. The two people at the bottom of the
- 4 list, an L.F. Parrish and J.E. Wilson, can you
- 5 identify them for us, the bottom left-hand
- 6 corner?
- 7 A. Okay. There's a Linda Parrish, who's a
- 8 secretary, and J.E. Wilson, I have no idea,
- 9 either.
- 10 Q. Okay. Do you recall receiving this
- 11 memorandum back in December of 1984?
- 12 A. No, I don't remember this.
- Q. Okay. Did you keep -- I'm sorry. Is
- 14 there someone you recognize?
- 15 A. No, I just know what it's about now.
- 16 Q. Can you tell us what it's about?
- 17 A. Well, Linda Parrish was a secretary in
- 18 our typing pool. She was -- she was one of two
- 19 people in our typing pool, and she was the person
- 20 who typed what I submitted. That was before we
- 21 had PC's and computers.

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- 1 So everything that we wanted to get
- 2 typed went to a typing pool, and they made copies
- 3 of everything on these diskettes. But, you know,
- 4 and I never saw it, and I wasn't involved with
- 5 that, but -- so it was really something for her,
- 6 not necessarily for me.
- 7 Q. Okay. Is the context of this,
- 8 discussing kind of floppy diskettes -- we
- 9 don't use them anymore, but they're more of a
- 10 three-by-three square floppy diskettes? Is that
- 11 your understanding that's what she's referring
- 12 to?
- 13 A. Yeah, I think so. That's what it is.
- 14 Q. All right. Sorry. Did I ask you who
- 15 T.D. Bradley was?
- 16 A. Yes, and I don't know.
- Q. Okay. Did you yourself ever retain any
- 18 diskettes or copies of the papers or research
- 19 that you would conduct in Lorillard over the
- 20 several decades?
- 21 A. No. I would get, you know, the typed

- 1 copies, and the library would get a copy. And I
- 2 don't know what happened to the diskettes.
- 3 Q. Did you ever keep your personal copies
- 4 of the experiments you did, projects you worked
- 5 on? Did you ever retain any of those, kind of a
- 6 history of what you did at Lorillard?
- 7 MR. GUSTAFSON: Objection to the form,
- 8 vague and ambiguous.
- 9 . A. To the best of my recollection we had a
- 10 system where everything that was typed up, a copy
- went to the library for keeping and, of course,
- our own files. And; of course, those files are
- 13 kept.
- Q. Where were your own files kept?
- 15 A. They were kept in my office until I
- 16 needed more room. They were boxed up and shipped
- 17 to a storage area.
- 18 O. Was that -- did that stay in
- 19 Greensboro, the storage area?
- 20 A. Yes, I think.
- Q. Do you know where they went to?

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- 1 A. I have never seen them, but I think
- 2 they're in the basement of the manufacturing
- 3 building.
- 4 Q. If you wanted to look at an old
- 5 document from 1970 or let's say '73 about the
- formic acid project, could you go locate that and
- 7 find that?
- 8 A. I couldn't. I could direct someone
- 9 else to find that document, and they -- I think
- 10 they could find it.
- 11 Q. Did you ever take any of your reports
- 12 or documents home?
- 13 A. No.
- 14 Q. Was there a particular reason why you
- 15 didn't keep your own library at home?
- 16 A. Yeah. I didn't want to keep them at
- 17 home. I mean, I had no reason to keep them at
- 18 home.
- 19 THE WITNESS: John, could we in a few
- 20 minutes can we take a break? I have a sinking
- 21 feeling. I just want to get some coffee maybe.

- 1 MR. ANGELOS: Sure. Let's take a
- 2 break.
- 3 THE VIDEOGRAPHER: Off the record at
- 4 3:40 P.M.
- 5 (Recess taken -- 3:40 P.M.)
- 6 (After recess -- 3:48 P.M.)
- 7 THE VIDEOGRAPHER: Back on the record
- 8 at 3:48 P.M.
- 9 Q. Mr. Bell, I want to ask you one last
- 10 question about what we said, Exhibit 11,715.
- Were you ever asked to turn over any of your
- 12 experiments or reports or any of your notes to
- any of the lawyers for Lorillard?
- MR. GUSTAFSON: Objection. The
- 15 question's vague and ambiguous, regarding what
- 16 context? Go ahead.
- 17 A. To the lawyers of Lorillard?
- 18 O. Yes. sir.
- 19 A. Now, My records have been copied
- 20 several times. I don't think that they were
- 21 Lorillard lawyers that did that, though. I think

- 1 they were outside lawyers.
- 2 Q. Okay. When do you recall that some
- 3 documents of yours were copied by lawyers,
- 4 whether they were in-house or outside counsel?
- 5 A. When did I remember?
- 6 Q. Yeah. Yes, sir.
- 7 A. Man, I have lot of all track of time.
- 8 But it seems like the first time, it was in the
- 9 late eighties or middle eighties or some time
- 10 like that. And then I think in two previous
- 11 times, I think they've come, so I think a total
- 12 of three, three times.
- Q. And is it your testimony the first time
- 14 was in --
- 15 A. It seems like to me was maybe '87,
- something around '87, give or take a couple
- 17 years.
- 18 Q. And can you describe the -- how the
- 19 lawyers came to you or what was discussed in the
- 20 sense of -- strike all that.
- 21 Under what circumstances were you

- approached and asked to have your or requested to
- 2 have certain of your records copied or things of
- 3 that nature?
- 4 MR. GUSTAFSON: Okay. Hold on before
- 5 you respond. You can respond to his question so
- 6 long you do not disclose the substance of any
- 7 communications between counsel and yourself. You
- 8 can tell him the process. I don't want you
- 9 disclosing the substance of communications with
- lawyers, because, I believe, those are protected
- 11 by the attorney/client privilege and the work
- 12 product doctrine.
- 13 A. My recollection is that I got out of
- 14 the office, and they came in and went through
- everything in the office. There was no planning
- on -- I mean, they had a plan, how they were
- 17 going to do it. But I wasn't involved in that.
- 18 They just came through and went through
- 19 everything.
- 20 Q. Okay. And when you got back in your
- office, were all your, I guess, records and files

- back in place?
- 2 A. Yeah, in fairly nice order, and they
- 3 had returned what they had copied into colored
- 4 folders, so that they would -- you know, they
- 5 were distinguished there. And they had put
- 6 numbers on some of the drawers, I guess for, you
- 7 know, identification of what had been copied
- 8 where or something of that nature.
- 9 But they had put numbers on drawers and
- 10 organized what they had copied and put that
- 11 folder back into the folders from which all the
- 12 files were in.
- 13 Q. Okay. Now, did this activity or action
- 14 take place in two other occasions?
- 15 A. I think in each case there were a
- 16 different procedure but, you know, they had the
- 17 previous procedure. You know, I think they knew
- 18 what the previous procedure was, so that they
- 19 could probably see what was done previously. And
- 20 then, you know, they would be looking for new
- 21 material or whatever.

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- I don't know what all they did or what
- 2 all they were looking for. They copied
- 3 everything pretty well.
- Q. Okay. And don't you have to disclose
- 5 what was said, but were there discussions from
- 6 the lawyers with you personally concerning the
- 7 copying of documents?
- 8 A. Not that I recall.
- 9 Q. Okay.
- 10 A. I was out of the way, completely out of
- 11 the way.
- 12 Q. Were you out of the way on all three,
- 13 separate occasions --
- 14 A. Right.
- 15 Q. -- when they came? And if you can, you
- 16 described the first occasion in the mid to late
- 17 eighties. Can you give a time frame for the
- 18 latter two occasions?
- 19 A. I would say one was about -- one was
- about four years ago, five years ago, say '92.
- 21 And there may not have been another one. There

- 1 may have been just two occasions. I don't
- 2 remember. But we had a lot of discussion and
- 3 everything about records, due to what they call
- 4 the Iowa case.
- 5 Q. I'm sorry?
- 6 A. Iowa.
- Q. Iowa.
- 8 A. The State of Iowa case, which was
- 9 something special, and -- and that was about two
- 10 years ago. There was no -- I don't think they
- 11 copied files for that, but it was a new thing
- about you can't throw anything away, anything.
- 13 Don't throw anything away.
- 14 I mean, that means -- I mean, they were
- 15 very emphatic about, you know, if you have a
- 16 grocery list and you write it down, just, put it
- in a box for Iowa. I don't know what that was
- all about, but I never understood any of this.
- 19 O. Did either Dr. Spears or Dr. Schultz
- 20 ever give you any instructions on retaining or
- 21 destroying any documents?

- 1 A. I don't believe I got any directive
- 2 from those two people, no.
- Q. Did you ever receive any instructions
- 4 from anybody as far as destroying any documents?
- 5 A. There was a lot of discussion, a lot of
- 6 direction about that from our research
- 7 administrator.
- 8 Q. Okay.
- 9 A. And probably things directly by memo
- 10 from legal about, you know, do not destroy
- anything and be sure to keep all your electronics
- 12 and electronic records and the whole --
- 13 everything.
- Q. What time period do you recall, if you
- do, in receiving some type of direction or orders
- 16 as pertains to the saving or destruction of
- 17 documents?
- 18 A. Well, it seems like that we got this on
- 19 a fairly regular basis from the time that we were
- 20 first instructed to, you know, make sure that
- 21 everything was to be kept and everything; and

- 1 probably before that, you know, way back before
- 2 all the lawsuits and all the court dealings and
- 3 so forth, about don't destroy records.
- 4 So, you know, our -- not a written
- 5 policy, but we were all pretty well instructed
- 6 about not destroying records.
- 7 Q. And from whom did those instructions
- 8 come from?
- 9 A. I think a lot of it came from legal,
- 10 and a lot of it came through our research
- 11 administrator.
- 12 Q. Who is the research administrator, if
- 13 you recall, would have given those type of
- 14 directions to you?
- 15 A. That would have been Mrs. Hallie
- 16 Jessup.
- 17 Q. And do you recall anyone from legal,
- 18 any names of any lawyers that may have given you
- 19 any directions?
- 20 A. You know, I really don't remember, but
- 21 maybe even from Arthur Stevens.

- 1 MR. ANGELOS: Okay. Let's mark this
- 2 document 11,716.
- 3 (Deposition Exhibit Number 11,716 was
- 4 marked for Identification.)
- 5 O. Have a look at that.
- 6 A. Okay.
- 7 Q. Sir, this is a document dated March 6,
- 8 1989, on Kimberly-Clark letterhead -- that's two
- 9 words, separated by a hyphen -- from Mr. Sean
- 10 Kelly, and it's addressed to Terry Jessup and
- 11 cc'd to several names, but yours is listed
- 12 first, "J. Bell." Who is Terry Jessup?
- 13 A. He's a, I think, manager in the product
- 14 development group.
- Q. And did you travel with him down to
- 16 Roswell, Georgia, at some point in 1989?
- 17 A. Yes, I did.
- 18 Q. Okay. And were you representing or a
- 19 representative of the research and development
- 20 department when you made that trip?
- 21 A. Yes, I was.

- 1 Q. What was the purpose of this trip?
- 2 A. We -- we discussed with the group here
- 3 listed from Kimberly-Clark about looking at new
- 4 smoking materials, and how they might could work
- 5 with us in doing that, since they were the
- 6 supplier of our R.L. Material, our reconstituted
- 7 sheet, reconstituted tobacco.
- 8 They have the ability to make smoking
- 9 sheets out of anything, I guess. But they make
- 10 it out of our by-products. So we were -- you
- 11 know, we were exploring, you know, what we could
- do in using that product and research, you know,
- 13 using that in research cigarettes to again
- 14 explore smoke composition.
- 15 Q. Does Lorillard send out their, I guess,
- 16 tobacco by-products to Kimberly-Clark in Roswell.
- 17 Georgia, and they make the reconstituted leaf
- 18 sheets?
- 19 A. Something like that. They don't make
- 20 it. They make it in New Jersey, Spotswood, New
- 21 Jersey, and most of those materials can come from

- our tobacco processing plant in Danville,
- 2 Virginia.
- 3 Q. It's your understanding that they're
- 4 shipped up to New Jersey, and that's where they
- 5 process it into the sheets?
- 6 A. Right.
- 7 Q. And then return it back to Lorillard?
- 8 A. That's correct.
- 9 Q. Okay. Do you know if there's any
- 10 reason why that particular manufacturing process
- is outsourced from Lorillard?
- 12 A. No, I really don't. It's a -- you
- 13 know, management made a decision to go with their
- product at that time, and I -- you know, I don't
- 15 know what -- it may have been economic or
- something. I don't know what reasons they would
- 17 have.
- 18 Q. Okay. Let me show what you we're going
- 19 to mark as Plaintiff's Exhibit 11,717.
- 20 (Deposition Exhibit Number 11,717 was
- 21 marked for Identification.)

- 1 A. Okay.
- Q. This is a memorandum, dated December
- 3 8th, 1989, from C.W. Lassiter to J.H. Bell, and
- 4 the subject is called B, as in boy, 451 project.
- 5 Do you recall receiving this memorandum, sir?
- 6 A. Yes, I do.
- 7 Q. And who is C.W. Lassiter?
- 8 A. He's a chemist working in the product
- 9 development section and sort of assigned to this
- 10 project.
- 11 Q. Okay. Were -- was Lorillard able to, I
- 12 quess, create their own procedure for making
- 13 reconstituted leaf tobacco inside the laboratory?
- 14 A. This Williams sheet mold allowed us to
- 15 make a sheet about the size of that page with
- 16 about a gallon of water. It's a -- it was a
- 17 pretty slow process to make something, but we
- 18 could make something. We could take granular
- 19 material and make a sheet with this mold.
- 20 Q. Okay. And what was the purpose of the
- 21 B-451 project?

- 1 A. B-451 project is a cigarette
- 2 modification project. The objective of that
- 3 project was to see if we could change the
- 4 composition of smoke of a -- you know, change the
- 5 composition -- make a smoking article that would
- 6 have a different smoking -- a different
- 7 composition of tar from a conventional cigarette.
- 8 And if -- if it were different, would
- 9 that product have a different response if you
- 10 painted them on the back of mice or tested it by
- 11 some other bioassay.
- 12 Q. It states in the really the fifth
- 13 paragraph, second -- second sentence, Mr.
- 14 Lassiter writes, "Our goal is to manufacture
- sheet with much lower mutagenic activity than our
- present K.C.R.L." Is that some substance of just
- 17 what you described there?
- 18 A. K.C.R.L.
- 19 Q. "The goal of the manufacturing is to
- 20 manufacture a sheet with the lower mutagenic
- 21 activity."

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- 1 MR. GUSTAFSON: I object to the form.
- 2 A. Yes.
- 3 Q. And what is K.C.R.L.?
- 4 A. That's Kimberly-Clark Reconstituted
- 5 Leaf.
- 6 Q. Kimberly-Clark --
- 7 A. Kimberly-Clark Reconstituted Leaf.
- 8 Q. Okay. And the author goes on to
- 9 state that, "The product is acceptable from an
- 10 organoleptic standpoint." Can you define that
- 11 or what does that mean?
- 12 A. Taste.
- 13 Q. Taste?
- 14 A. It means taste.
- 15 Q. It states that, "Kimberly-Clark
- 16 has also provided us was R.L." Reconstituted
- 17 leaf, "which does not contain the,"
- 18 quote/unquote, "black water." What is
- 19 black water?
- 20 A. In the process -- I've never seen their
- 21 process, but I understand that it's a papermaking

- 1 type process. And in that, it's -- the material
- 2 is suspended in water and sprayed on a sheet, and
- 3 the water is sucked off of that sheet.
- In the case of reconstituted tobacco,
- 5 that water is recirculated and used over and
- 6 over, so that -- you know, otherwise you'd be
- 7 wasting a lot of water. So that water -- and it
- 8 becomes black, because of the extracts in that
- 9 water. And it's just called black water.
- 10 Q. Did you participate in the experiments
- 11 that allowed removal of remaining nitrates that
- 12 took place in your -- I guess, your testing
- 13 process here?
- 14 A. Did I participate in that?
- 15 Q. Yes, sir.
- 16 A. I have participated in procedures to
- 17. remove nitrates, pretty simple. I don't know
- 18 what context is used here. Can you point that
- 19 out to me?
- 20 Q. Oh, it would be the last paragraph.
- 21 The second sentence states, "We have performed

- experiments with this material by first removing
- 2 most of the remaining nitrates by water
- 3 extraction."
- 4 A. Oh, right, right. We took an R.L.
- 5 Tobacco that they supplied us, and we -- you
- 6 know, it's just practically like a paper. And we
- 7 extracted that with water, and it removes all the
- 8 nicotine and all the nitrate, if you extract it
- 9 enough, and that's what we did.
- 10 Q. Okay. Whatever happened with this
- 11 B-451 project? Was it ultimately successful in
- 12 achieving your goal, as you stated and as you
- 13 testified to?
- 14 MR. GUSTAFSON: Objection,
- 15 mischaracterizes his testimony. It's vaque and
- 16 ambiguous, as well.
- 17 A. You know, since my retirement, and I
- was heading up the project, but I would imagine
- 19 someone's picked up those activities and that
- 20 project is going forward.
- Q. Okay. And you retired almost -- well,

- 1 eight-and-a-half years later after this; is that
- 2 right?
- 3 A. Hm-hmm.
- 4 Q. So the project was ongoing from the
- 5 period of December 1992 to July 1st, 1998, to the
- 6 best of your knowledge?
- 7 A. It's been going on, yes.
- Q. Okay. And in that time period, or at
- 9 least when you left, had -- is it fair to say
- 10 that this ultimate goal has not been achieved?
- 11 MR. GUSTAFSON: Objection, it's vague
- 12 and ambiguous.
- A. No, it's -- we have learned a great
- 14 deal, but you know, this is a long-range project.
- 15 And, you know, I think we know more than we knew
- 16 before, but we're a long way from achieving our
- 17 goal.
- 18 Q. Okay. All right. Let me show you
- 19 we're going to mark this as 11,718.
- 20 (Deposition Exhibit Number 11,718 was
- 21 marked for Identification.).

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- 1 Q. This document's dated January 2nd,
- 2 1990. And it's to J.D. Heck from M.M. Dozier,
- and the subject is Project B451 and what you
- 4 called and also stated here, "The Cigarette
- 5 Modification Project." And on the copy receipt
- 6 of this, you're listed J.H. Bell, you're listed
- 7 on the second page of the document. Who is J.D.
- 8 Heck?
- 9 A. He's -- it's Dr. Heck, a toxicologist,
- 10 and works in our light -- well, I'm not sure if
- he's -- he's a toxicologist, works for Lorillard.
- 12 Q. Okay. And the memo references a -- an
- in-house test for mutagenicity in the Salmonella/
- 14 Mammalian-Microsome reverse mutation, in
- 15 parentheses, Ames, A-M-E-S, assay in 1989. Can
- 16 you tell me what that is?
- 17 A. I know what they're doing and I know
- 18 what it is, but it's hard for someone that's not
- 19 a toxicologist to discuss this. But it -- that's
- 20 the salmonella bacteria, and we were using the
- 21 TA98 strand of that, that is a -- that's used

- widely to measure compounds that will mutate that
- 2 strain of bacteria.
- 3 Certain compounds will make it mutate,
- 4 and you'll get new colonies of new bacteria. So
- 5 it's a -- it's a fast way of looking at compounds
- 6 or trying to see if compounds cause mutation.
- 7 Q. Okay. And how is these mutations,
- 8 these changes, relate to the reconstituted leaf?
- 9 What's the purpose of doing this test and
- 10 identifying certain mutants, as it relates to
- 11 reconstituted leaf process?
- 12 A. Well, it relates to anything -- I mean,
- 13 to cigarette tar of any nature. It -- you know,
- 14 you can have some mutations. We were using it,
- as I pointed out awhile ago, for the
- 16 reconstituted leaf. We were seeing if we could
- 17 modify that reconstituted leaf in order to reduce
- 18 the mutations that it would cause.
- 19 Q. Okay.
- 20 A. It doesn't -- it's just -- again, it's
- 21 just a way of testing things. There's a

- 1 biological response, and hopefully if you've got
- 2 something that had less biological response, you
- 3 might say, well, that's better.
- 4 But, you know, how it relates to
- 5 anything else, you know, who knows?
- 6 Q. Okay. I'm still a little thick on
- 7 this. What mutations are we seeing in the smoke
- 8 condensate that could lead to the tumors on the
- 9 mice's back?
- 10 A. Would you say -- ask that again?
- 11 Q. In the context of evaluating the smoke
- 12 condensate on the backs of mice and seeing tumors
- or cancers being grown, where's the mutation
- 14 part? What is being mutated? Are we seeing
- normal cells go on the backs of the mice and the
- 16 mutations occur and then the cancers grow? Is
- 17 that what's occurring?
- 18 MR. GUSTAFSON: I'll object to the
- 19 form.
- 20 A. I don't believe I can answer that. I
- 21 don't believe I know how that -- that's you're

- 1 really getting into what causes tumors and
- 2 cancer. And, you know, these people know
- 3 something about that, but I really don't know
- 4 enough to really discuss it.
- 5 Q. Okay. I'm just trying to get the
- 6 relationship of the mutation, what genes are
- 7 mutating and why is that a problem, or what is
- 8 that causing as it relates to determining the
- 9 effect on the tumors or the mice painting
- 10 experiments.
- 11 MR. GUSTAFSON: Objection to form,
- 12 vague and ambiguous and unintelligible.
- 13 A. I don't think anyone knows how -- you
- 14 know, I don't think anyone knows that
- 15 relationship. It's just, the Ames test is very
- quick, and we could survey a lot of different
- 17 things that we're doing, a lot of -- you know, a
- 18 lot of things real fast; whereas, if we went to a
- 19 mouse painting experiment, we'd be talking about
- 20 six months, eight months or something.
- 21 And this way we could do something in a

- week. And so that's why we're using the Ames
- 2 test. But it doesn't relate to anything, but
- 3 it's just a -- it's just a test, biological
- 4 response test.
- 5 Q. Okay. And these mutations that
- 6 occurred here, or at least what are being test
- 7 for, are site specific on the salmonella
- 8 bacteria, which are causing them to mutate or
- 9 change into a different format. Is that
- 10 accurate?
- 11 A. I -- I don't know. I really don't
- 12 think.
- 13 Q. Okay.
- 14 A. There are a lot of different strains of
- 15 bacteria, and TA98 is one of those strains. And,
- 16 you know, why they choose that versus something
- 17 else, because it's available, I think. But I
- 18 really can't answer that. I just don't know.
- 19 (Deposition Exhibit Number 11,719 was
- 20 marked for Identification.)
- 21 Q. Show you what we've got marked as

- 1 Plaintiff's Exhibit 11,719. Okay. And for the
- 2 record, this document is dated February 12th,
- 3 1990. It's submitted by A. Prakash,
- 4 P-R-A-K-A-S-H, and it's entitled, "Analytical
- 5 Determination of Phenols in Mainstream Cigarette
- 6 Smoke by HPLC and Fluorescence Detection," and in
- 7 parentheses it says B, as in boy, 451CMP. And
- 8 J.H. Bell is listed as receiving a copy of this,
- 9 along with Doctors Minnemeyer and Schultz.
- 10 Can you identify who A. Prakash is,
- 11 Mr. Bell?
- 12 A. That's a doctor -- what was her name
- 13 Aruna, and I don't know how you spell that,
- 14 Prakash. Indian, Ph.D., works in our analytical
- 15 department.
- 16 Q. Okay. I want to turn your attention
- to the following page and under the heading
- 18 of "Sample Preparation," the second sentence, and
- 19 I'll read it to you. It says, "The procedure of
- J.H. Bell," and parentheses it says three, "was
- 21 modified to quantitate the amount of phenols and

- 1 is reported here." Do you know what procedures
- 2 she's referring to that she lists you by name?
- 3 A. Hm-hmm.
- 4 Q. What is that procedure, sir?
- 5 A. It was a liquid chromatographic or HPLC
- 6 method that I had developed, and I turned it over
- 7 to her for the purpose of doing these analyses.
- 8 And she took it, and she changed this and changed
- 9 that and modified it.
- 10 Q. Was this a procedure that you had, for
- 11 lack of a better word, invented yourself?
- 12 A. I probably modified a procedure from in
- 13 the literature that someone used for something.
- 14 Then I adapted that for cigarette smoke. So, you
- 15 know, I don't know how -- if that's called
- inventing or not, but that's the way it works.
- 17 Q. Did you patent that procedure?
- 18 A. No. You don't usually patent
- 19 procedures, analytical procedures.
- 20 Q. You had done some work on the presence
- of phenols in smoke condensate many, many years

- 1 earlier; is that correct?
- A. That's correct.
- 3 Q. And is that the similar type of
- 4 procedure, where you were able to isolate, I
- 5 believe we talked about 12 phenols before in one
- of the documents? Was that the same type of
- 7 procedure that she was using or applying in this
- 8 experiment as well?
- 9 A. No, it was quite different.
- 10 Q. Okay. Were you familiar with this
- 11 experiment that she was conducting at this time
- 12 in 1990?
- 13 A. Yes.
- Q. Okay. And do you know what the purpose
- of this experiment was, as it related to the
- 16 project B-451?
- 17 A. Yeah, in the project B-451, we were
- 18 going to make a lot of experimental, prototype,
- 19 strange, odd cigarettes, looking to modify the
- 20 smoke and to see what kind of response that would
- 21 have on the TA98 bacteria. We were also

- interested in the chemistry of that smoke; and
- 2 phenols, benz(a)pyrene and those compounds were
- 3 going to be analyzed for each of these
- 4 prototypes.
- 5 So she was just gearing up to have this
- 6 procedure to handle the samples that we provided
- 7 her.
- 8 Q. In 1990, hadn't you been able at the
- 9 eliminate a majority of the phenols by an
- 10 addition of that chemical compound we talked
- 11 about in the filter?
- 12 A. I quese that's -- I quese that's a way
- 13 you could eliminate those, yes.
- Q. Were you still going to measure -- let
- me ask you this. For the purposes of this
- 16 experiment, B-451, were you using filters that
- were treated with the poly -- that alcohol-based
- 18 compound which eliminated the phenols? Were you
- 19 using, I quess, test cigarettes that contained
- 20 filters with that compound?
- 21 A. Practically all the cigarettes that we

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- 1 made in this project were handmade on probably
- 2 less than a hundred grams of material to generate
- 3 these new smoking materials and these odd things
- 4 that we were doing. It was extremely difficult
- 5 to get more than just a little bit.
- 6 We couldn't go to a maker and
- 7 machine-make cigarettes. We had to use a hand
- 8 device that -- and we would buy what we call
- 9 sleeves, which was just a roll of cigarette paper
- 10 and one at a time take this material and make
- 11 cigarettes that way. So we were literally
- 12 making, you know, maybe one cigarette every 30
- 13 seconds or so.
- 14 Q. So you weren't putting any filters on
- 15 there?
- 16 A. No, no filters on there. You could buy
- the sleeves with filters, but since we didn't
- 18 know anything about those filters, it was best
- 19 not to use the filters.
- 20 Q. Okay. Let me show you -- have this
- 21 marked as 11,720.

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marked for Identification.)
 2
 3
                This is a document dated August 14th,
      1990, to M. Ireland from R. Striegel,
 4
      S-T-R-I-E-G-E-L. And on the last page it's,
 5
      among others, J.H. Bell was cc'd or received a
 6
 7
      copy of this.
 8
                Mr. Bell, can you tell me what a
      Borg -- B-O-R-G-W-A-L-D-T, Borgwaldt smoking
 9
10
      machine is?
                It's a -- it's a commercial smoking
           Α.
11
12
      machine that operates on a rotating head with, in
      this case, I think it's got 20 ports, so you put
13
14
      20 cigarettes in, and a single piston, a syringe.
15
      It's a syringe piston -- a syringe.
                And it draws a 35 cc puff or whatever
1.6
      you want it to. And you can smoke -- you know,
17
      you can set it up to smoke 20 cigarettes at a
18
      time, taking one puff at a time, of course, for
19
      each cigarette as it rotates around.
20
                Okay. Why would -- was this machine
           Q.
21
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(Deposition Exhibit Number 11,720 was

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- superior in some form or fashion than the prior
- 2 machine you had that was being used?
- 3 A. You know, I don't really know what this
- 4 report is. Let me look and see what they're
- 5 doing here.
- 6 Q. Sure.
- 7 A. I think I know what it's about.
- 8 MR. GUSTAFSON: What's the question? Is
- 9 there any question pending?
- 10 MR. ANGELOS: I think so. Was there
- 11 any question pending, Miss Richmond?
- 12 (Question was read by the reporter.)
- 13 A. I think the technique may have been
- 14 better than other techniques we had used, but it
- doesn't have anything to do with the smoking
- 16 machine itself. It's just that the whole
- 17 technique is better.
- 18 Q. Do you know what the Federal Trade
- 19 Commission uses, what kind of machine they use to
- 20 determine the tar and nicotine ratings of
- 21 cigarettes?

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- 1 A. Many years ago when they were doing
- 2 that?
- Yes.
- 4 A. I think they used what was called a
- 5 Phipps and Berg smoking machine, which was quite
- 6 different, actually, than this machine.
- 7 Q. Okay. And do you know if Lorillard
- 8 still uses a Phipps and Berg machine in relating
- 9 the tar and nicotine ratings that they provide to
- 10 the government annually?
- 11 A. They do use that type of machine, yes.
- 12 Q. Okay. And comparing the Phipps and
- 13 Berg machine versus the -- what do we call this?
- 14 A. Borgwaldt.
- 15 Q. Yes, the Borgwaldt machine, can you
- describe or articulate any differences between
- the two machines, as how they measure tar and
- 18 nicotine?
- 19 A. I can go in great detail describing all
- 20 of the ramifications of these machines. I don't
- 21 think you want me to do that.

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The measurement of tar and nicotine
 1
      appears to be something very simple, but in
 2
      actuality, it's a very complex procedure. It's
 3
      hard to do. Theoretically, there should not be
 4
      any difference between the two machines, but I
 5
      think there probably would be, maybe, some
 6
 7
      difference.
                The most -- one of the critical aspects
      of smoking cigarettes by machine and determining
9
      tar and nicotine is in the airflow around the
10
      cigarette, because, as you've asked earlier this
11
12
      morning, the puff number determines the tar and
      nicotine, how many puffs per cigarette you have.
13
14
      And the airflow around that cigarette burning
15
      determines the puff number to a degree.
                                               It has
      some effect on the puff number.
16
                So you have to put these machines in
17
      specially conditioned rooms with airflow control,
18
      very well controlled, and humidity controlled.
19
      And so it's a difficult thing to measure tar and
20
21
      nicotine.
```

But the two machines should be -- you know, should give approximately the same numbers, but I'm not sure -- you'd have to work on it to get the same numbers.

- Q. Can you describe how the Borgwaldt smoking machine holds the cigarette?
- A. Yes, I know how it does. It's hard to describe. You want me to describe it?
 - Q. If you could, please.
- A. There's a cylinder that goes into a round head on the machine. And inside of that cylinder they have a rubber sleeve that you can insert into that cylinder.

And the cylinder has a hole about the size of your finger, and you put the rubber sleeve in that. And then pneumatically that can -- you can press air on that rubber sleeve to come around the cigarette, like you put in between your lips, that kind of thing.

Q. Does that rubber sleeve or kind of lipping, is that contained on the Phipps and Berg

Al Betz & Associates, Inc. (410) 752-1733

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- 1 machine?
- 2 A. The new Phipps and Berg machines has a
- 3 new designed mouthpiece, which I really don't
- 4 know how to describe to you. But it's different.
- 5 It's just a different -- the new mouthpiece is
- 6 different than what I described for the
- 7 Borgwaldt.
- 8 Q. Has there been a new Phipps and Berg
- 9 machine introduced to measure the cigarettes in
- 10 the F.T.C. method, using an updated model, a
- different model than you've used in prior years?
- 12 A. Yeah. I'm not -- I'm not really aware
- of -- I know there's been changes, and I know we
- 14 have replaced all of our machines with newer
- 15 machines. But I'm not familiar with what those
- 16 changes are now. At one time I kept up with
- 17 that, but in the last ten years, I haven't.
- 18 Q. Okay. Let me show you what we'll mark
- 19 as 11,721.
- 20 (Deposition Exhibit Number 11,721 was
- 21 marked for Identification.).

```
1 Q. Hand that to you. And this is a
```

- .2 document dated February 21st, 1992, to M.S.
- 3 Ireland from R.M. Striegel. And I just want to
- 4 go to under -- or confirm something under gas
- 5 phase development, 1992. The memorandum states
- that, "In conversation with Jim Bell and Dr. Vela
- 7 Norman, it was suggested that a method for
- 8 collecting and analyzing all the puffs would give
- 9 more representative data. A 20-port Borgwaldt RM
- 20/CS smoking machine was purchased by Jim Bell's
- 11 group and loaned to analytic development in
- 12 October of 1991."
- Did you, in fact, you and your group,
- 14 purchase that new Borgwaldt --
- 15 A. Yes.
- 16 Q. -- cigarette machine? Okay. And is
- it fair to say that at least what R.M. Striegel
- is saying is that the method for collecting
- 19 and analyzing the puffs would give more
- 20 representative data with this new machine?
- 21 Is that a fair statement concerning the

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- 1 conversation you had with that individual before?
- 2 A. Yes, I think so.
- 3 Q. Okay. And when you talk about more
- 4 representative data, can you describe what that
- 5 means?
- 6 A. Yes. The way we were doing -- this is
- 7 about gas phase smoke analysis.
- 8 O. Hm-hmm.
- 9 A. The gas -- everything is dynamic.
- 10 Smoke is dynamic. Smoke is an aerosol that's
- 11 always changing, and every second it's changing.
- 12 So you want to analyze it as quickly as you can.
- 13 So if you smoked a cigarette, an old
- 14 method would be smoke a cigarette into a bag,
- which it would take 10 minutes, and then sample
- 16 the smoke in that bag and analyze it. Well, your
- 17 smoke is ten minutes old when you analyze it.
- 18 The method that he -- that Dick was
- 19 using, Striegel was using, involved looking at
- 20 the smoke in each puff and then looking -- and
- 21 then averaging those numbers. Because we know,

- 1 as we talked about this morning, that the tar
- 2 goes up with every puff. It always has and
- 3 always will.
- 4 So then you're getting the average puff
- 5 type thing.
- 6 This method that I suggested said in
- 7 this rotating head, you could take a puff from a
- 8 number of cigarettes. You could take from
- 9 cigarette one, you could at that time first puff.
- 10 Cigarette two, you could take the second puff;
- 11 and cigarette three, the third puff.
- 12 Then you would have a composition of
- all the puffs taken within about a minute, and
- 14 then you could sample that. So it's much
- 15 fresher. So, therefore, your analysis should be
- 16 much better and much closer to a real value than
- 17 something aged or something averaged over a
- 18 number of puffs taken every minute.
- 19 Q. Okay. When Lorillard forwards their
- 20 annual tar and nicotine percentages or ratings to
- 21 the F.T.C., is it based upon an average of all

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- 1 the puffs or is it -- is it based upon an average
- 2 of all the puffs in a cigarette?
- 3 A. Well, I think you missed a point here,
- 4 John. Everything you've shown me is -- from Dick
- 5 Striegel is on gas phase. That's not part of
- 6 tar. The tar is what's on the pad. This gas
- 7 phase is coming through that pad. It's never
- 8 measured as tar.
- 9 Q. Okay. So when -- at least the F.T.C.
- Numbers that Lorillard reports to the F.T.C.,
- 11 that's just on the Cambridge pad; is that
- 12 correct?
- 13 A. Right. That's defined as tar, and
- that's been defined as tar ever since the F.T.C.
- 15 Started doing this. That's what we call tar.
- 16 Q. Okay. And how many puffs are
- 17 registered on the Cambridge pad that are used as
- 18 an F.T.C. average, that's forwarded to F.T.C. on
- 19 the tar and nicotine ratings? Is the whole
- 20 cigarette smoked to a certain length, and then
- 21 that's reported to the F.T.C., or is it a portion

- 1 of it or --
- A. No. It's a standard tipping paper,
- 3 plus three millimeters, and the machine smokes
- 4 down to that point. These machines have a string
- 5 that's laid across that point of the cigarette.
- And once it's burned through, it cuts
- 7 that cord off. And it can cut it off in the
- 8 middle of a puff. So you can actually have
- 9 eight-and-a-half puffs or 8.2 puffs or whatever.
- 10 Q. So when you say it goes three
- 11 millimeters above the tipping paper, that's the
- last section above the filter? Is that where the
- 13 tipping paper ends, three millimeters above that
- 14 last section?
- 15 A. Well, you have a tipping wrapper on the
- 16 cigarette. And if you take the end of that and
- 17 measure three millimeters, that's what the --
- that's the standard length that you smoke the
- 19 cigarette to.
- Q. Okay.
- 21 A. And some cigarettes could be different

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- because of the different tipping paper length.
- But it doesn't make any difference; that's what
- 3 you smoke it to.
- Q. Okay. And that's all collected in the
- 5 Cambridge filter, and that's what is analyzed for
- 6 content, which is ultimately submitted to the
- 7 F.T.C.; is that correct?
- 8 A. After some manipulation of that. You
- 9 subtract out the water.
- Q. Hm-hmm.
- 11 A. And you subtract out the nicotine, and
- 12 then you have tar. 'Cause the nicotine's
- 13 reported differently, separately.
- 14 Q. There's a separate reading for
- 15 nicotine?
- 16 A. Yes.
- 17 O. And a separate reading for the tar.
- 18 And is the nicotine, the reading that's -- or
- 19 reported to, is it just the nicotine that's
- 20 recovered in the Cambridge filter?
- 21 A. Yes, that's right.

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- 1 Q. There are no other sources where the
- 2 nicotine is measured from, other than the
- 3 Cambridge filter?
- A. Well, I think by -- you know, by
- 5 experimentation we know that, I think, 99 percent
- 6 or maybe almost totally, all the nicotine is
- 7 collected on that filter.
- Q. Okay.
- 9 A. It's in the particulate matter that
- 10 collects on that filter.
- 11 (Deposition Exhibit Number 11,722 was
- 12 marked for Identification.)
- 13 Q. Show you a document, 11,721 -- 11,722.
- 14 See if you recognize that, sir. I guess the
- 15 question is, did you go to the Bahamas back in
- 16 1979 on Lorillard's tab to attend a conference
- 17 down there?
- 18 A. Yes, I did. One of the best things
- 19 that ever happened to me at Lorillard.
- 20 (Deposition Exhibit Number 11,723 was
- 21 marked for Identification.)

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- 1 Q. All right. Let me see if there's a
- 2 second place for that. Go ahead and show you
- 3 11,723 and ask if you went to Dusseldorf,
- 4 Germany, in 1990, and if that was as a rewarding
- 5 vacation or trip that you might have taken? Did
- 6 you go to Dusseldorf, Germany, in 1990?
- 7 A. No, I did not.
- 8 Q. Oh, I see. The meeting was held in
- 9 Winston-Salem; is that right?
- 10 A. Yes.
- 11 Q. Okay. I note these documents -- pardon
- me -- marked as Plaintiffs' Exhibit 723 was
- 13 written by -- I believe this was written by --
- 14 recorded by Jim Bell, it says on page three at
- 15 the bottom.
- 16 A. Oh, yeah.
- 17 Q. Okay. Did you travel to Dusseldorf for
- 18 this meeting originally and participate?
- 19 A. No. This meeting was in Winston-Salem.
- 20 Q. Okay. When it says on, at least,
- 21 number one, it says, "The minutes of the April

- 1 1st meeting held in Germany, Dusseldorf, Germany,
- 2 were discussed and approved, " do you see where
- 3 that was written?
- A. Okay. I did not attend the meeting in
- 5 Dusseldorf.
- 6 Q. Okay.
- 7 A. I was asked to write the minutes down
- 8 for the meeting in Winston-Salem that discussed
- 9 the Dusseldorf meeting.
- 10 Q. Okay.
- 11 MR. GUSTAFSON: John, my copy of this
- 12 has a --
- MR. ANGELOS: Yeah, so does mine.
- 14 MR. GUSTAFSON: Came with our document
- 15 attached. That should not be attached.
- 16 MR. ANGELOS: Correct. That's correct.
- 17 MR. GUSTAFSON: Jim, could you --
- 18 MR. ANGELOS: Just pull that off, sir.
- 19 MR. ANGELOS:
- Q. What is CORESTA SS and ETS task force?
- 21 Can you identify that for -- who that is or what

- 1 group that is?
- 2 A. Okay. What do you want first?
- Q. CORESTA?
- 4 A. CORESTA?
- 5 Q. Yes, sir.
- 6 A. That is French, and I can't -- but in
- 7 France, that is a international tobacco
- 8 organization, research organization, a tobacco
- 9 research organization.
- 10 Q. Are you or were you a member of that
- 11 organization?
- 12 A. Yes, I was.
- 13 Q. And ETS Task Force that's listed?
- 14 A. ETS is the Environmental Tobacco Smoke.
- 15 Q. And are you a member of that task force
- 16 as well?
- 17 A. Yes, I was a member of that task force.
- 18 Q. Okay. And on page -- the last page of
- this, there's a group of people listed here as
- list of participants and you're listed second, J.
- 21 Bell from Lorillard. Does this list of

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- participants -- this list that's written here,
- 2 does this include people that are participants in
- 3 both the CORESTA and the ETS task force or is
- 4 this the same?
- 5 A. It's the same.
- 6 Q. Same thing, okay. And how did you come
- 7 to be nominated or placed on this committee?
- 8 A. The CORESTA organization operates
- 9 through these task forces for their scientific
- 10 investigations in doing their business. And
- 11 anyone that's a member of CORESTA can be on the
- 12 task force if they desire to be. It's not
- 13 appointed or anything.
- 14 So I was -- I represented Lorillard in
- 15 the smoke study -- smoke area that they study
- 16 smoke and tobacco smoke and that type of thing.
- 17 So this task force was under that -- that group.
- 18 And so I served on this committee.
- 19 Q. Okay.
- 20 A. This task force.
- 21 Q. Show you one more document here, sir.

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- 1 I'm going to mark as Plaintiff's Exhibit 11,724
- 2 and see if you recognize this.
- 3 (Deposition Exhibit Number 11,724 was
- 4 marked for Identification.)
- 5 Q. This is a document dated December 6,
- 6 1993, and it's entitled, "Lorillard Research
- 7 Department, Patents by Author," and you're
- 8 listed; at least I have Jim Bell listed here with
- 9 a series of patents. And it's really going to
- 10 encompass the first four pages.
- 11 And I want to ask you, at least looking
- 12 at page one, called, "Selective Filters for
- 13 Cigarettes," and is this a patent that you -- or
- do you hold this patent collectively with these
- other gentlemen listed here, Spears, Routh,
- 16 Lassiter?
- 17 A. Yes.
- 18 Q. Okay. And is that a patent pending or
- 19 a patent that's been filed and accepted by the
- 20 U.S. Patent Office?
- 21 A. I believe it's filed and accepted.

- 1 Q. Okay. The next one's
- 2 called, "Cigarette Filter," and again
- 3 it's the same four gentlemen. Is that a
- 4 patent that's also been filed and accepted
- 5 by the U.S. Patent Office?
- 6 A. To my knowledge it is.
- 7 Q. Okay. The next one's called, "Chewing
- 8 Tobacco Product," and it has several names,
- 9 including yours. Is that a patent you also
- 10 collectively hold with these gentlemen here?
- 11 A. Yes, it is.
- 12 Q. Okay. "A Method for Making Tobacco
- 13 Products," another patent which you're
- 14 collectively listed. Is that one that you also
- 15 hold with those gentlemen?
- 16 A. Yes, it is.
- 17 Q. Okay. And I'm going to ask you the
- 18 same question for, "Cigarette Filters Containing
- 19 Selective Adsorbents"?
- 20 A. Yes.
- 21 Q. And "Selective Cigarette Filters," you

- also have a patent with those gentlemen, as well;
- 2 is that correct?
- 3 A. Yes.
- 4 Q. And in the next page, "Quantitative
- 5 Determination of Alkanes, "A-L-K-A-N-E-S, "In
- 6 Cigarette Smoke, " and you hold that collectively
- 7 with those gentlemen, as well; is that correct?
- 8 A. That's not a patent.
- 9 Q. What is that?
- 10 A. Publications and presentations.
- 11 Q. Okay.
- 12 A. And the rest of that would be the same
- 13 thing.
- 14 Q. Okay. And at least the ones that are
- 15 listed here on this document, are all these
- 16 publications that are accurate, and they reflect
- 17 work that you published over the course of your
- 18 career?
- 19 MR. GUSTAFSON: Objection to form.
- 20 Q. I'll represent to you that this
- 21 document was on the Lorillard web site that's

- accessible to anyone in the country, and this is
- 2 what was put up there. So....
- 3 MR. GUSTAFSON: I think the question is
- 4 vague and ambiguous in terms of what you mean by
- 5 accurate. I don't understand that.
- 6 Q. These are the names of the articles and
- 7 publications you've been involved with.
- 8 A. Well, I haven't read through the whole
- 9 list --
- 10 Q. Hm-hmm.
- 11 A. -- but they're probably either
- 12 publications or oral presentations that I've
- 13 given at conferences.
- 14 Q. Okay.
- 15 A. And I don't know if they're all
- 16 accurate or not. But there may be some
- 17 misspelling or something, but....
- 18 MR. ANGELOS: Okay. Craig, that's all
- 19 I have.
- 20 MR. GUSTAFSON: Okay. Let's take just
- 21 a two-minute break, and then we'll wrap this up

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real quick.
 1
                THE VIDEOGRAPHER: Off the record at
. 2
 3
      4:51 P.M.
                (Recess taken -- 4:51 P.M.)
                MR. GUSTAFSON: We're finished.
 5
                THE VIDEOGRAPHER: This is the end of
 6
 7
      the videotape deposition at 4:54 P.M.
 8
                MR. GUSTAFSON: We'd like the
 9
      opportunity to read the transcript.
              (Examination concluded -- 4:54 P.M.)
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STATE OF MARYLAND CITY OF BALTIMORE SS:
I, Nancy P. Richmond, a Notary Public
of the State of Maryland, do hereby certify that
the within named, JIMMY H. BELL, personally
appeared before me at the time and place herein
set out, and after having been duly sworn by me,
was interrogated by counsel.
I further certify that the examination
was recorded stenographically by me and this
transcript is a true record of the proceedings.
I further certify that I am not of
counsel to any of the parties, nor an employee of
counsel, nor related to any of the parties, nor
in any way interested in the outcome of this
action.
As witness my hand and notarial seal
this 27th day of August, 1998.
My commission expires:
June 4, 2001
Notary Public

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2	The deposition of JIMMY H. BELL, taken
3	in the matter, on the date, and at the time and
4	place set out on the title page hereof.
5	It was requested that the deposition be
6	taken by the reporter and that same be reduced to
7	typewritten form.
8	It was agreed by and between counsel
9	and the parties that the Deponent will read and
10	sign the transcript of said deposition.
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CAPTION

1

1	CERTIFICATE
.2	STATE OF:
3	COUNTY/CITY OF:
4	Before me, this day, personally appeared
5	JIMMY H. BELL, who, being duly sworn, states that
6	the foregoing transcript of his/her deposition,
7	taken in the matter, on the date, and at the time
8	and place set out on the title page hereof,
9	constitutes a true and accurate transcript of
10	said deposition.
11	
12	
13	•
14	SUBSCRIBED and SWORN to before me, this
15	day of, 19 in the
16	jurisdiction aforesaid.
17	·
18	
19	
20	My Commission Expires
21	Notary Public

	DEPOSITION ERRATA SHEET	
RE: Al Be	tz & Associates, Inc.	
FILE NO.:	20678	
CASE CAPTI	ON: STATE OF MARYLAND vs. P	HILIP MORRIS
DEPONENT:	JIMMY H. BELL	
DEPOSITION	DATE: August 18TH, 1998	
	I have read the entire tran	script of my
Deposition	taken in the captioned mat	ter or the
same has b	een read to me. I request	that the
changes no	ted on the following errata	sheet be
entered up	on the record for the reason	ns
indicated.	I have signed my name to	the Errata
Sheet and	the appropriate Certificate	and
authorize	you to attach both to the or	riginal
transcript		
PAGE/LINE	CHANGE	REASON
SIGNATURE	DAT	E:

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DATE:
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